

Social Protection Reform Project

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Social Protection Reform Project
中国-欧盟社会保护改革项目

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Relevant Acronyms

Acronym	Description
ACFTU	All-China Federation of Trade Unions
ACWF	All-China Women's Federation
ADECRI	Agence pour le Développement et la Coordination des Relations Internationals (Agency for the
CEC	China Entreprise Confederation
CASS	China Academy of Social Science
CIRC	Chinese Insurance Regulatory Commission
EN3S	Ecole Nationale Supérieure de Sécurité Sociale (National School of Advanced Social Security
ESF	European Social Fund
EU	European Union
EU MS	European Member State
EUD	European Union Delegation
IAC	Insurance Association of China
IASIA	International Association of Schools and Administration Institutes
IBRD	International Bank of Reconstruction and Development
ICT	Information and Communication Technology
ILO	International Labour Organization
IMC	Internal Management Committee
ISSA	International Social Security Association
LAC	Latin America & the Caribbean
M&E	Monitoring and Evaluation
MIS	Management Information System
MoA	Ministry of Agriculture
MoCA	Ministry of Civil Affairs
MoF	Ministry of Finance
MOFCO	Ministry of Commerce
NHFPC	National Health and Family Planning Commission;
MoHRSS	Ministry of Human Resources and Social Security
NDRC	National Development and Reform Commission
NGO	Non-Governmental Organization
OECD	Organization for Economic Co-operation and Development
OHS	Occupational Health & Safety
OPHRD	Operational Programme Human Resources Development
PAC	Project Advisory Committee
SAFEA	State Administration of Foreign Experts Affairs
SAI	Shanghai Administration Institute
SAWS	State Administration for Work Safety
SOCIEUX	Social Protection European Union Expertise in development cooperation
UNDP	United Nations Development Programme

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1. New organizational structure of the European Consortium

After the first year of the project, the Consortium together with the European Delegation and the support of Chinese Beneficiaries, have decided to modify the management level of the project in order to make efficiencies and overcome the limits faced during 2015. This re-organization has been also facilitated by the leaving of Formez, the former Project Secretariat, starting from 31st December 2015. The modifications occurred affected both the Members participating in the Consortium and the main role that they play, as better explained in the two following paragraphs.

1.1. Consortium partners

The project is implemented by a **Consortium** of 10 public and semi-public institutions, representing 7 European Union Member States. The Istituto Nazionale della Previdenza Sociale - INPS was designated by the Consortium as its **Leader** and ensures the overall management and supervision of the project. The table below presents a list of the Consortium Members.

Table 1 – Consortium Partners

Consortium Member No 1	Istituto Nazionale della Previdenza Sociale (INPS); Italy
Consortium Member No 2	Società Italiana di Servizi per la Previdenza Integrativa (SISPI SPA); Italy
Consortium Member No 3	Federal Public Services Social Security (FPS Social Security); Belgium
Consortium Member No 4	Ministry of Family, Labour and Social Policy (MRPiPS); Poland
Consortium Member No 5	Ministry of Labour, Family, Social Protection and Elderly (MoLFSPE); Romania
Consortium Member No 6	Ministry of Employment and Social Security (MEySS); Spain
Consortium Member No 7	International and Iberoamerican Foundation for Administration and Public Policies (FIIAPP); Spain
Consortium Member No 8	Agence Française d'Expertise Technique Internationale (Expertise France), France
Consortium Member No 9	Ministry of Labour and Social Affairs (MoLSA); Czech Republic
Consortium Member No 10	Scuola Nazionale dell'Amministrazione (SNA); Italy

For each of the three Components, one of the Consortium Members was designated as **Component Coordinator**, in charge of implementing the activities for each Component and reporting to the Project Leader. The Component 1 Coordination, has been assigned to the French Partner, now Expertise France, with previously named legal entity ADECRI. The Component 2 Coordination, has been assigned to the Italian Partners, INPS, after the first year of the project when it was assigned to Formez. The Component 3 Coordinator, has been assigned to the Polish Partner, Ministry of Family, Labour and Social Policy, which legal entity was previously named Ministry of Labour and Social Policy.

The project organization is supported by a **local office and team based in Beijing**.

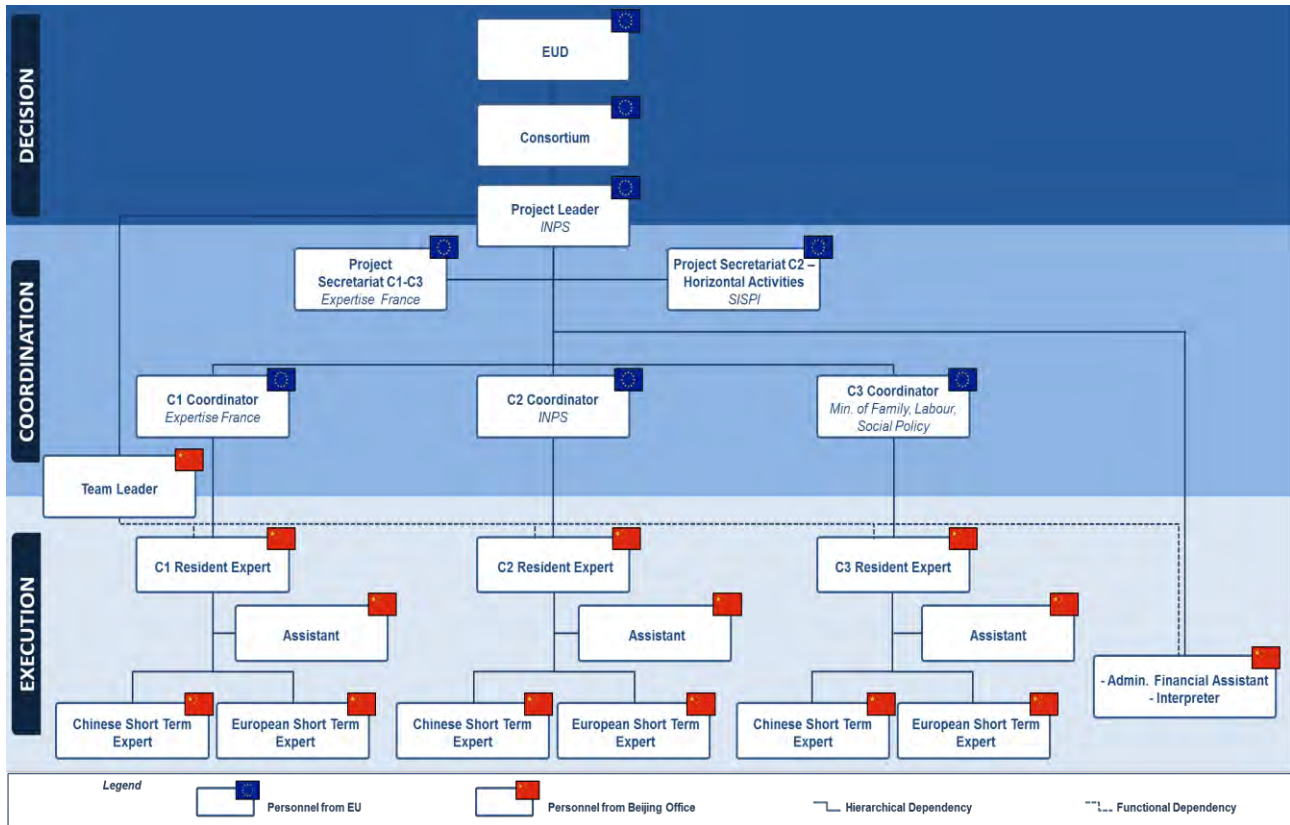
Each component is coordinated on the field by a **Resident Expert**, one of them being also **Team Leader**. Resident experts work on a daily basis with the local support staff, as well as with European and Chinese experts mobilized to implement the project's activities. In particular, the local support staff is composed by:

- 1 Assistant part-time to the Resident Expert of Component 1
- 1 Assistant part-time to the Resident Expert of Component 2
- 1 Assistant part-time to the Resident Expert of Component 3
- 1 Assistant part-time to the Team Leader (currently combined with assistant to RE C2)
- 1 Financial/Administrative assistant full-time
- 1 Interpreter/Translator part-time

In comparison to what foreseen during the first year of the Project, the new Project structure in Beijing doesn't foresee anymore the role of Office Manager, considering the real needs of the office that shall be fully covered by the current Financial/Administrative assistant, with an increase of tasks and responsibilities. This choice has been agreed also in consideration of the needed knowledge of the Chinese context and bureaucracy, in addition to the Chinese language, that are fully covered by the Financial/administrative assistant.

In accordance with the Grant Application Form, a **Project Secretariat** is established to support the Project Leader, Component Coordinators and Resident Experts in ensuring the administrative and financial management of activities. In particular, the Project Secretariat has been assigned to SISPI for Component 2 and Horizontal activities and to Expertise France for Component 1 and 3. The following procedures are shared between the Secretariat Institutions in order to ensure equality and homogeneity within the project. The Project Secretariat functioning will be deepen in the next paragraph I.2 "*Organization of the Secretariat*".

This general organization is summarized in the diagram below:



1.2. Organization of Secretariat

During the Internal Management Committee of the Project, held on the 3rd of December 2015, considering the need to replace the Secretariat entrusted to FORMEZ, the Consortium decided the following:

- 1) The role of Secretariat of Component 1 and 3 is assigned to Expertise France.
- 2) The role of Secretariat of Component 2 and horizontal activities is assigned to SISPI, which will join the Consortium as affiliated entity as far as its recognition as mandated body is achieved. Meanwhile it will support INPS in horizontal and Component 2 activities Secretariat to manage payments, contracts and procurement in Europe and China.

The Project Secretariat performs the following tasks:

A. HORIZONTAL SECRETARIAT	B. COMPONENTS' SECRETARIAT
<ol style="list-style-type: none"> 1. Provision and management of the Office based in Beijing, including the mobilization of local staff (Administrative and Financial Assistant, interpreter) working on horizontal activities 2. Organization of institutional meetings (PAC and IMC meetings and closing ceremony), including the management of travels for Consortium Representatives 3. Creation and management of electronic archives of project documents 4. Definition of the communication strategy for each project event and design and production of related visibility material 5. Creation and management of a project website, both in English and Chinese 6. Creation and sharing of the project Directory of Contacts 7. Design, production and sharing of the bi-annual project newsletter 8. Support in the preparation of financial reporting and other documents and certification that will be required by the Audit of the EU 	<ol style="list-style-type: none"> 1. Arrangement of the 3 Resident Experts 2. Preparation of the contractual and logistical arrangements for the European public staff participating in missions in China or in the EU 3. Preparation of the contractual and logistical arrangements for the Chinese Short-Term Experts conducting missions and studies in China 4. Recruitment of Component Assistants, supporting the Resident experts in their daily tasks 5. Preparation of the contractual arrangements for recruitment of sub-contractors (European/Chinese personnel not attributable to previous categories, such as experts from academic or professional world) 6. Logistic and Financial management of events organized in China (High-level events) and in the EU (training sessions, study visits, workshops), including the management of contracts, payments and travels for participants and contracts and payments for suppliers 7. Support in the preparation of financial reporting and other documents and certification that will be required by the Audit of the EU

2. Executive summary of 2015

In the following sections will be given the executive summary for the horizontal activities and for each of the component.

2.1. Horizontal activities

2.1.1. *Visibility activities performed*

The activity of Visibility, assigned to Formez, suffered some low support during the first year of the project, mainly caused by the management of the Institute by a special Commissioner who has conducted due diligence regarding Formez activities, requiring strong limitations in its possibility of action. As a consequence, INPS, for the most important events, has taken the responsibility of this activity with the support of Component Coordinators and Partners. In the new organizational structure of the Project, which Consortium has defined and agreed during the last December, it will be given highest importance to this issue, in particular concerning the complete project website available in two languages, newsletters and dissemination of material in addition to a communication strategy which will be shared with all the major stakeholders of the Project.

Despite the project in the first year had a poor website¹, one page was dedicated to advertise job openings and provided a calendar of events when they were being confirmed, in addition to other component information.

On the occasion of the Opening Event of the project and the 2015 High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance, the Project Leader with the support of the Component 3 Coordinator, Resident Expert and Consortium Partners, prepared text and iconographic material for project visibility, such as project background information, briefing on activities performed, as well as Component related information for dissemination.

Furthermore Component 1 has produced and shared a Newsletter presenting the main Activities carried out by Component 1 during 2015.

A strong support in visibility activities has been provided from Chinese Beneficiaries that posted on their website² information in Chinese language concerning all significant activities and the Resident Experts that create a temporary component dedicated website/repository³.

¹ <http://spr.formez.eu>

² http://www.sdpc.gov.cn/gzdt/201509/t20150924_752131.html
<http://www.icc-ndrc.org.cn/Detail.aspx?newsId=4996&TId=172>
http://www.sdpc.gov.cn/gzdt/201502/t20150211_663984.html
http://www.sdpc.gov.cn/gzdt/201511/t20151112_758337.html
http://www.sdpc.gov.cn/gzdt/201511/t20151117_758752.html
http://www.sdpc.gov.cn/gzdt/201602/t20160204_774481.html
http://www.sdpc.gov.cn/gzdt/201601/t20160121_771977.html

³ <http://www.sprp-cn.eu> and <http://mbrezachina.eu/>

2.1.2. *High level event*

During this first year of the project have been organized two of the most important events that consistently contribute to the achievement of the Result 1, consisting in the establishment of an high level policy dialogue on social protection reform and partnerships with the Specialized Public Bodies of EU Member States and the National Development and Reform Commission (NDRC), the Ministry of Finance (MoF) and the Ministry of Civil Affairs (MoCA) on social protection.

In particular, on 15th and 16th September 2015 have been performed the Opening Event and the 2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance, where European Partners and Experts met Chinese counterparts involved under the Social Assistance scope. Information on the High Level Forum on Social Assistance were published on several webpages outside China⁴. A more detailed description of the event is included in the related paragraph of Component 3.

In addition, on April 2015 has been performed the first Project Advisory Committee (PAC). During this event all major stakeholders have shared the project's guidelines, the plan of the project activities for the next 12 months and the relative priorities to be addressed. The meeting has also provided sessions dedicated to individual components, which have advanced technical themes with all the major players involved, both European and Chinese. The PAC meeting was the formal event to share and approve all the decisions taken during the Inception phase of the project.

In particular during the first PAC have been reviewed and approved:

- The "Organizational Structure" of the Project Advisory Committee;
- The yearly work plan, that includes:
 - o an overall section,
 - o horizontal activities plan,
 - o three Components activity plan
- Project Deliverable and approval process

2.1.3. *Coordination and monitoring*

Due to the high complexity of the Project, in terms of many stakeholders involved, geographical distribution, intricate issues and challenging timing, a systematic approach to Project Management is essential and it has been adopted during the first months of project and kept until nowadays. The Project Secretariat changing has clarified all the aspects related to coordination and monitoring. All the needed documents have been shared with the European Delegation, thanks to the support of Consortium Coordinators and Resident Experts in Beijing.

For the Coordination of this Project, the Consortium had proposed the following activities and tools, performed mainly by the Project Leader with the support of the Component Coordinators and the Project Secretariat:

⁴ <http://www.mpips.gov.pl/aktualnosci-wszystkie/art.5528,7308,minister-mleczek-odwiedzil-chiny.html>;
<http://www.mpips.gov.pl/aktualnosci-wszystkie/art.5528,7387,ue-pomaga-chinom-w-dziedzinie-zabezpieczenia-spolecznego.html>;
<http://www.esn-eu.org/news/684/index.html>;
http://www1.seg-social.es/ActivaInternet/BuenasPracticas/REV_034798

- *Project Work Plan Management*, that includes both the Definition, Supervision and Updating of the detailed plan of the Project and the Definition and Distribution of the Activities between the partners, according to their competencies and skills (“Project Work Plan updated”). All the modifications would be agreed with the Partners involved in the activities and be implemented in order to ensure adjustability and flexibility to the project. The project work plan would be defined on yearly basis with the close collaboration of Chinese counterparts, in order to be sure that the project keeps following their needs and requests. The work plan was to be yearly approved by the annual Project Advisory Committee from European Delegation representatives and Chinese Beneficiaries.
- *Missions Management*: the process for the selection of short term European expert and the template as reference for their qualification (Term Of Reference), have been kept as approved during the first PAC meeting in 2015. Each TOR template, has been modified by the Resident Expert according to the need related to each specific activity to be performed. The recruitment of short term Chinese experts has followed the same procedure, mutatis mutandis. In particular, the selection has been conducted in China, in agreement between the relevant resident experts and main Chinese stakeholders.
- *Project Management and Knowledge Sharing Tools*: the project management and knowledge sharing activities would be simplified through the realization of dedicated informatics tools. The implementation of the tools already foreseen during the first months of the project, have been slow down during the period under review considering the additional effort that the Project Leader had to face in relation to the Project Secretariat deficiencies.
- *Issues & Risk Management*: during these crucial months due to the Secretariat changes, the collaboration and communication among Project Leader, Component Coordinators and Consortium Partners have been deeply intensified in order to find solution to all the arisen issues. Under this context, two Internal Management Committee IMC meetings have been performed during this second semester of 2015 in China with the occasion of the 2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance and in Rome, in order to definitely define the solution to the important change in the project structure.

Under the technical point of view, the Monitoring of this Project has been performed, following the request of the European Delegation in China during the past Project Advisory Committee, a Component related dashboard to be sent on quarterly basis by each Resident Expert and Component Coordinator. This table includes the following information:

- Updated timing and deadlines for activities foreseen under the component (completed, ongoing, forecasted)
- Deliverables Status and Quality
- Human resources involved
- Risks and measures taken to avoid or solve them, whenever possible

Furthermore, every six months each Component Coordinator is producing a “Component Status Report” on the progress of the work-stream to be shared with the Project Leader and EU MS involved in the Component.

- *Ex-post monitoring*: this report has been deleted, according to the need of rationalization of documents related to the project monitoring, as agreed with the European Delegation in China during the past PAC.
- *Project Monitoring*: this activity, aimed to monitor the overall progress of the Project. During the current year two related reports will be produced by the Project Leader on the basis of other 3 Component Status Reports produced by the Resident Experts and Component Coordinators. The Project Leader collects all the 3 Component Status Reports in one Project Status Report, in order to organize them in an effective way and also include horizontal activities and other project common information. All the reports planned will guarantee coherence and adherence to administrative reports required by EU Commission Visibility Guidelines.

Furthermore, on yearly basis, an Interim report produced by the Project Leader, is shared with the main project stakeholders, Chinese Beneficiaries and the European Delegation in China, describing an overall situation of the project, with a specific reference to the budget consumption.

Finally, during the first year, a Results Oriented Monitoring Evaluation mission was organized by the EU Commission by mid-October 2015; the conclusions pointed out the good achievement of the project results and the quality of technical outputs delivered, despite the already known deficiencies in project management and secretariat. The ROM report included a number of detailed conclusions and recommendations to which the new arrangements for the Secretariat have adequately responded in practice.

2.1.4. *Logical Framework*

The Logical Framework has not been modified with reference to the one approved in the first project PAC and included in the related Aide-Mémoire of 2015. Any possible change to be applied to the Logical Framework will be discussed during the next coordination meeting and approved in the next PAC.

2.2. Component 1

2.2.1. *Results pursued*

Component 1 of the project has specifically to pursue 4 of the altogether 11 identified results of the latter - results R2 to R5 inclusive -, namely:

- Improved interagency cooperation in social protection reform (R2);
- Enhanced capacity in policy development, implementation and evaluation (R3);
- Strengthening the interface of the various pension schemes towards full coverage in old-age (R4);
- Reform efforts in response to urbanization trends, concerning notably basic protection and portability of rights (R5).

Topics starting being explored during the year 2015 directly contribute to the pursuance of results R2 – 1 topic -, R4 – 3 topics – and R 5 -1 topic.

2.2.2. *Topics considered*

The table below presents the topics selected for implementation already from the year 2015. It is recalled that the PAC meeting held on 27 April 2015 took note of the adjustment in the title and contents of topics 1.1.1 and 1.3.2 to take into account the evolving national priorities since the elaboration of the Grant application form.

Similarly, it was expected that, for future years, the title and contents of topics to be considered would not necessarily correspond to those contained in the Grant – which indeed represented a “first hypothesis” subject to revision after consulting with the various stakeholders.

Table 2 – Topics considered in 2015, component 1

ID	DESCRIPTION	R.	DATE STARTS
1	Component 1 - Strengthening institutional capacity for social protection policy development and reforms		
1.1.1	Social insurance administration systems reform [contributing to the elaboration of the XIIIth National Five Year Plan (2016-2020)]	2	Month V April 15
1.3.4	Multi-tiered design of pension systems (public pension, enterprise annuity and individual pension)	4	Month V April 15
1.3.2	Evaluation of the combination of basic pension and Individual accounts	4	Month V April 15
1.3.1	Pension reform for public sectors	4	Month VIII July 15
1.4.3	Strategy of integrating social security system in urban and rural context also through the portability of social insurances	5	Month VIII July 15

2.2.3. Inception phase

As provided for in the Grant Application form (macro-activity 0.1) the Component team produced in April 2015 a report on baseline data and a report on the project audience result. These documents can be accessed from the Component temporary website, <http://www.sprp-cn.eu/reporting.htm>.

2.2.4. Situational analysis and assessment

The 2015 Activity plan for C1 component endorsed by the PAC meeting establishes that “for each of the five topics to be considered under the project component 1 in 2015, the project will conduct a situational analysis of the Chinese circumstances.”

Competent Chinese experts were selected jointly by NDRC and the project C1 team in April 2015 for each of the three topics included in the first batch of activities, among a roster of eligible and interested candidates. Incumbents for each of the remaining two topics were selected according to the same procedure in July 2015. The table below summarizes the situation concerning the analysis conducted during the period under review.

The reports produced by the Chinese experts were submitted to peer review during two Panel discussions held respectively in July and in November 2015. In January 2016, a Workshop took stock of activities conducted for each of the five topics considered in the light of progress made during the implementation of the XIIth Five-year Plan (2011-2015), and of the expected reforms to be introduced during the XIIIth Five-year plan (2016-2020).

Table 3 – Situational analysis (2015)

Topic	Title	Incumbent	Output	Observations
1.1.1	Social insurance administration systems reform [contributing to the elaboration of the XIIIth National Five Year Plan (2016-2020)]	Tan Zhonghe	2 finalized reports, EN and CN versions	First batch
1.3.1	Pension reform for public sectors	Zhang Yinghua (Ms)	1 finalized report, EN and CN versions	Second batch
1.3.2	Social pooling of the basic pension component (Evaluation of the combination of basic pension and Individual accounts)	Song Xiaowu	1 finalized report, EN and CN versions	First batch
1.3.4	Multi-tiered design of pension systems (public pension, enterprise annuity and individual pension)	Dong Keyong	1 finalized report, EN and CN versions	First batch
1.4.3	Strategy of integrating social security system in urban and rural context also through the portability of social insurances	Wang Zeying	1 finalized report, EN and CN versions	Second batch

These documents (English version) can be accessed for the Component temporary website, <http://www.sprp-cn.eu/reporting.htm>.

2.2.5. Best practices

In order to increase the relevance and to improve the focusing of exposure to European best practices under each of the related topics, the selection of European best practices should respond to the needs identified by the Chinese counterparts. The Component strategy was therefore to identify and field a European expert in charge for each batch of topics to be explored in 2015 to review with national Chinese counterparts actual key technical and policy preoccupations, and to identify best matching European experiences – inside and outside the Consortium member countries. These Best practices are likely to be used as a basis for forthcoming exchanges and technical cooperation in the relevant areas. The selected expert, a Belgian national, Mr. Koen Vleminckx, undertook three missions to China, in July and November 2015 as well as in January 2016. He actively participated in the two panel discussion sessions as well as in the January 2016 workshop. Expert's preliminary findings were submitted for comments to those Consortium members most interested in C1 activities (Belgium, the Czech Republic, France, Italy and Spain) for subsequent finalization. Mr. Vleminckx also submitted a report on the European social security response to crisis, presented to an academic audience and to NDRC in January 2016, thanks to the hospitality of the Chinese academy of social sciences – CASS. His reports can be accessed from the Component temporary website, <http://www.sprp-cn.eu/reporting.htm>.

The final outcome of activities conducted as part of the 2015 programme of activities is, for each of the five topics under consideration, a thorough assessment of the national situation, including an analysis conducted by a Chinese expert, a review of most relevant EU best practices and a set of preliminary reform proposals. Those were tabled during an *ad hoc* workshop held in Beijing on 21 January, and fully discussed by NDRC and experts coming from a variety of concerned national ministries and institutions. Works were published in English and in Chinese by the Project C1 website and NDRC respectively early in 2016. Draft proposals for reform on each of the 5 topics considered are included in a specific publication to be completed during the first half of the year 2016.

2.2.6. Overseas activities

Overseas activities organized in 2015 under the framework of component 1 included a technical international workshop held in Brussels on 2 & 3 February 2015 on Public sector pension reform, a two-weeks training course on Multitier pension systems held in France from 21 June to 5 July 2015 and a ten-days Dialogue and study visit to Spain, the Czech Republic and Poland on Employment policies and Social security systems with special reference to Migrant workers conducted between 27 October and 5 November 2015.

Documents related to the Overseas programme can be accessed from <http://www.sprp-cn.eu/OverseasActivities/C1/>.

The workshop in Brussels was directly pursuing result R4 of the project – “National policy framework for a full coverage of old-age insurance system throughout China is consolidated by strengthening the interface of various schemes, pension funding pooling, old-age insurance scheme for civil servants/the employee of public agencies and the existing multi-layer pension system.” – through related topic 1.3.1 (Pension reform for the public sector). The training course in France was part of pursuance of the same objective, through topic 1.3.4 (Multi-tiered design of pension systems (public pension, enterprise annuity and individual pension)). The 3-countries study visit contributed to the achievement of result R.5 (“Reform efforts in response to urbanization trends, in particular the harmonization and integration of the various basic social protection systems for different groups of beneficiaries, the portability of social insurances and better suited assistance schemes.”) through topic 1.4.3 (Strategy of integrating social security system in urban and rural context also through the portability of social insurances).

2.2.7. Pilot sites

The PAC, meeting on 21 April 2015, had endorsed the choice of three pilot sites for C1 activities, namely Shanghai city – topic on Ageing population -, Sichuan Province cities of Luzhou and Zigong for rural-urban integration including migrant workers issues and Guangdong province city of Huizhou for Migrant workers’ questions. The city of Zigong subsequently withdrew its candidacy because of internal restructuring affecting the city government.

At the initiative of NDRC, two field visits were organized in September 2015, to respectively Huizhou and Guangzhou cities (Guangdong Province) and Luzhou and Chengdu cities (Sichuan Province). Those missions aimed at gaining first-hand knowledge of the situation in pilot sites, and at gathering information on the expectations of local authorities concerning their future collaboration with the project C1 component. Representatives from the EU delegation took part on the mission to Sichuan province, thus marking their interest in project activities.

Not to delay implementation of cooperative activities with the Pilot sites, it was decided by NDRC and the C1 team that the second Panel discussion, dealing inter alia with migrant workers’ social security,

would associate representatives from selected pilot sites in Guangdong and Sichuan provinces which had expressed a keen interest in related issues.

Contacts with Shanghai Pilot site have been held in February 2016 as part of the 2016 Programme of Activities.

2.2.8. Human resources

The contract of the EU Resident expert for Component 1, Mr. Jean-Victor Gruat (Expertise France) took effect on 20 December 2014. Within the project Beijing office, Ms. Wang Qingqing acted as part-time assistant for Component 1 until end December 2015– contract in effect from August 2015. Mr Zhang Guoqing and Mr Fang Lianquan act as Main Chinese experts for the project since April 2015 – respectively Main expert Operations and Main expert Research. The initial project budget allocated to Component 1 provided for 1600 w/days of national experts, 900 w/days of EU expertise from Consortium countries, and a non-specified but limited number of w/days for European experts outside the consortium countries (sub-contracting, 200 w/days in total for the 3 components).

The table below provides the breakdown of human resources utilization by Component 1 in 2015.

Table 4 – C1 Use of Human resources (2015)

EU-CHINA SOCIAL PROTECTION REFORM PROJECT C1 – MONITORING OF HUMAN RESOURCES - SITUATION AT 31 DECEMBER 2015							
#	CATEGORY			POSITION	DURATION W/D		INCUMBENT
	CN EXPERT	EU EXPERT	OFFICE		ALLOC.	CONTRACT	
1		x		EU RESIDENT EXPERT	200	216	JEAN-VICTOR GRUAT
2	X			MAIN CN EXPERT (OPERATIONS)	134	91	ZHANG GUOQING
3	X			MAIN CN EXPERT (RESEARCH)	75	55	FANG LIANQUAN
4			X	COMPONENT ASSISTANT		54	WANG QINGQING
5	X			RESEARCH 1.3.2 SOCIAL POOLING	80	80	SONG XIAOWU
6	X			RESEARCH 1.3.4 MULTITIERED PENSION SYSTEM	45	45	DONG KEYONG
7	X			RESEARCH 1.1.1 XIIIITH FIVE YEAR PLAN	45	45	TAN ZHONGHE
8		X		EUROPEAN BEST PRACTICES	40	40	KOEN VLEMINCKX
9	X			RESEARCH 1.4.3 MIGRANT WORKERS	35	35	WANG ZEYONG
10	X			RESEARCH 1.3.1 PENSION REFORM	35	35	ZHANG YINGHUA
11		X		BRUSSELS W.SHOP & TRAINING PENSIONS FRANCE (SEVERAL POSITIONS)	CA.60		MULTIPLE

2.3. Component 2

2.3.1. *Results pursued*

Component 2 has pursued 3 of the project's 11 identified results - results R6 to R8 inclusive -, namely:

- The capacity of MoF financial management and supervision of central and local model of social security system and the extension of social security system coverage are enhanced, in particular in the fields of division of expenditure responsibilities, mid -terms budgeting of fund, and performance assessment model;
- Enhance the top level design ability in the basic pension insurance; establish actuarial analysis models for basic pension insurance reform;
- The capacity of the MoF in the management of social insurance funds, focusing on fiscal support budgeting, account system, investment techniques and adjustment mechanisms for pension benefits is strengthened.

The main stakeholder and beneficiary for Component 2 activities is MoF.

Topics which started being implemented in the year 2015 – see paragraph 2.3.2 below – have directly contributed to achieve results R6 (2 topics) and R7 (2 topics).

2.3.2. *Topics considered*

Topics (in Table 5) have already been selected for implementation in the year 2015. These topics correspond to the priority selection made by MoF, in accordance to the overall targeted Government priorities for social security reform, which have been agreed in occasion of the first PAC meeting held on 27th April 2015.

After various consultation with MoF, it has been discussed if, for future topics' analysis, it would be considered to add, modify and update contents and titles of each topics, in line with the Government needs and priorities, which not necessarily correspond to those endorsed in the Grant.

Table 5 – Topics considered in 2015, Component 2

Chronological Topics Starting Time in 2015 - Comp.2		
M. IV 2015 April 15		Result
2.1.1	Division of decision power and expenditure responsibilities on social security between central and local government	6
2.2.1	Nominal personal account reform in the basic pension insurance system	7
M. VII 2015 July 15		

2.1.2	Social security coverage on informal employment: methodologies and tools of analysis and management	6
2.2.2	Models and Methodologies for the Social and Economic sustainability analysis in social protection system	7

2.3.3. Situational analysis

The 2015 Activity plan for C2 component endorsed by the PAC meeting on 21st April establishes that *“for each of the four topics to be considered under the project component 2 in 2015, the project will conduct a situational analysis of the Chinese circumstances.”*

Competent Chinese Experts were selected jointly by MoF and the project C2 team in April/May 2015 for each of the two topics included in the first batch of activities, among a roster of possible eligible and interested candidates. Incumbents for each of the remaining two topics were selected according to the same procedure in July 2015. Table 6 below summarizes the situation concerning the situation analysis conducted during 2015.

Table 6 – Situational analysis (2015)

Topic	Title	Incumbent	Output	Observations
2.1.1	Division of decision power and expenditure responsibilities on social security between central and local government	Zhao Fuchang	1 finalized report, CN and EN versions	First batch
2.2.1	Nominal personal account reform in the basic pension insurance system	Li Zhen	1 finalized report, CN and EN versions	First batch
2.1.2	Social security coverage on informal employment: methodologies and tools of analysis and management	Zhou Xiao	1 finalized report, EN version	Second batch
2.2.2	Models and Methodologies for the Social and Economic sustainability analysis in social protection system	Wang Xiaojun	1 finalized report, EN version	Second batch

The first and second batch reports produced by the Chinese experts were shared with the Chinese beneficiary both at the Panel discussions and at the Workshop, hold in 2015. Nevertheless, with the nomination of the new Resident Expert it was assessed to proceed to a re-editing of the second batch papers in view of their presentation at the 2016 Workshop.

2.3.4. *Best practices*

The Project established to identify “Best practices” in European field as method to manage activities to achieve results of the project. European best practices should respond to the needs identified by the Chinese counterparts within the program. The Component strategy was to identify some European experts who, in collaboration with national Chinese counterparts, could review actual key technical and policy preoccupations, in order to identify best matching European experiences – inside and outside the Consortium Countries – which could be used as a basis for forthcoming exchanges and technical cooperation in the relevant areas. Expert’s preliminary findings would then be submitted for comments to those Consortium members most interested in C2 activities.

A critical point was the lack of proposals for participation in best practices, by some members of the Consortium, despite the reminder sent to the members, on new topic definition. The only proposals (in terms of a list) were received from INPS. Nevertheless, the activity of the Resident Expert of Component 2, and EU experts involved, allowed the achievement of the identification of some best practices (not just Italian), which have been brought to the attention of the MoF. C2 Team shared these proposals with the MoF to have more deep analysis and then asked to Members of Consortium new proposals on more specific needs.

Additionally, Consortium member states did not succeed to produce Country Reports related to Component 2 topics.

This factor, the almost absence of a work pursued in Europe by Consortium members on best practices and scientific activities, will be an element of evaluation for next PAC meeting.

Nevertheless, this element did not damage the quality of the activities, even if one of the core and starting elements of the project is the active involvement and engagement of EU institutions, while most of the Component scientific work is managed and carried out by resident experts, team, Chinese experts and EU experts coming to China for short-term missions.

The EU best practices reports made by the selected experts were presented in occasion of the two panel discussions and the Workshop, held in 2015. The documentation was informally shared by USB key on the Workshop. With the nomination of the new Resident Expert the material was reviewed and it was assessed to proceed with a re-editing of the papers to be formally presented on the 2016 Workshop.

2.3.5. *Overseas activities*

During the year 2015, as indicated in the 2015 Work Plan, no overseas activities were foreseen.

2.3.6. *Human resources*

The contract of the EU Resident expert for Component 2, Mr. Stefano Patriarca (Formez) took effect on 23 December 2014. Within the project Beijing Office, Ms. Valentina Pignotti acted as assistant for Component 2 – contract in effect from April 2015. Ms. Li Zhen and Ms. Wang Xiaojun have been the first two Chinese experts Research identified by MoF, since April 2015. Ms. Zhao Qing worked for a short-term period as operational expert, from May to August. This task has been then carried out by Mr. Huang Wanding, main Chinese operational expert for the Component since September 2015. The

initial project budget allocated to Component 2 provided for 750 w/days of national experts, 380 w/days of EU expertise from Consortium countries, and a non-specified but limited number of w/days for European experts outside the consortium countries (sub-contracting, 200 w/days in total for the 3 components).

The national experts within C2, contracted by the Project are as follows.

Table 7 – C2 national experts contracted in 2015

Name	Position	Activity within C2	Inputs and outputs
Ms. Zhao Qing	Researcher, social security research institute, Renmin University of China	Operational expert until August	Baseline Data Report Smooth research cooperation and support in all the operational activities connected with C2.
Mr. Huang Wanding	Researcher, social security research institute, Renmin University of China	Operational expert from October	First Workshop Report Smooth research cooperation and support in all the operational activities connected with C2.
Prof. Li Zhen	Director of social security research institute, Renmin University of China	Situational analysis and main Chinese expert Topic 2.2.1	1 finalized report, CN and EN versions
Mr. Fuchang Zhao	Director of the research centre of Finance and national governance, Research Institute for Fiscal Science, Ministry of Finance	Situational analysis Topic 2.1.1	1 finalized report, CN and EN versions
Prof. Wang Xiaojun	Vice Dean of Department of Statistics, Renmin University of China	Situational analysis Topic 2.2.2	Presentation at the Panel Discussion on the first results of the research 1 draft report
Ms. Zhou Xiao	Institute for International Labor and Social Security, Ministry of Human Resources and Social Security	Situational analysis Topic 2.1.2	Presentation at the Panel Discussion on the first results of the research 1 draft report

2.3.7. European Experts

Component 2 published four web calls to involve EU short-term experts, one for each of the topics started from the first year of project implementation. C2 did not receive numerous application to the calls, and many of the candidates did not have the requested requirements to be selected. For this reason, C2 decided to select one sub-contract expert, Mr Mel Cousins, well-known international expert on social protection, who was also involved in the previous EU-China project on social security and was familiar with the themes under analysis. Additionally, C2 selected other two experts for short-term missions (Mr. Marano Angelo and Ms. Angela Legini). C2 RE and team leader underlined many times to Consortium the necessity to review the modality of selection of experts in Europe, as it results being inefficient the method applied now, which consists on a call for each mission. A comprehensive list of experts from which selecting the potential candidate responding to requirements and needs, could be a more efficient way.

At present, Consortium did not succeed in providing a more appropriate selection practice. At the same time, it is not clear the modality of selection of EU sub-contract experts.

Nevertheless, C2 involved also experts who, during the time of the activities, were in Beijing for related activities (High Level Forum, Workshop), enriching the number of available human resources. Component 2 also involved INPS President and well-known economist and expert of social protection, Mr. Tito Boeri, who gave an important contribution to the first Panel Discussion and was well appreciated by MoF.

Below there is a list of EU Experts selected and engaged as Short Term experts and other roles within C2.

Table 8 – C2 list of European experts engaged in activities

Name	Position	Activity	Inputs and outputs
Tito Boeri	Inps President	Panel discussion first batch	EU BP Presentation at the first Panel Discussion
Angelo Marano	Director general in the Ministry of Labour and Social Policies, Member of the Board of Auditors of the National Institute of Social Protection (INPS), Italy	STE and Speakers in HLE and in Workop: panel discussion first and second bath	EU Best Practice Report Topic 2.1.1; 2.2.1; 2.1.2; 2.2.2 EU BP Presentation at the first Panel Discussion EU BP Presentation at the first Workshop
Gabriele Uselli	INPS, Head of Individual Accounts	Panel discussion first batch of Topics	Contribution to the dialogue on EU best practices

Name	Position	Activity	Inputs and outputs
Angela Legini	Statistician and Actuary- Staff of General Director of the National Institute of Social Protection (INPS) , Italy	STE Panel discussion second batch of Topics, WS and other meetings	EU BP Presentation at the second Panel Discussion EU Best Practice Report Topic 2.2.2
Roberto Notaris	Analyst of work processes in the field of pension benefits, the Italian National Institute of Social Protection (INPS) , Italy	Speaker in WS and panel discussion second batch of topics	EU BP Presentation at the first Workshop EU Best Practice Report Topic 2.2.1
Mel Cousins	International Social Protection Expert	STE and Speaker in Workshop: panel discussion second bath and other meetings	EU BP Presentation at the second Panel Discussion EU BP Presentation at the first Workshop EU Best Practice Report Topic 2.1.1; 2.1.2
Koen Vlenmink	Director of the Research and International Cooperation Department, Federal Ministry of Social Security (FPS Social Security), Belgium	Panel discussion first batch and other meetings	Contribution to the dialogue on EU best practices

2.4. Component 3

2.4.1. Activities within Component 3 in 2015

The main goal for Component 3 is to work on improving of the legal framework and policy for social assistance in close cooperation with MoCA. The activities foreseen for 2015 have been adopted by the Project Advisory Committee (PAC) on April 21st, 2015.

Among the eleven results the whole project is expected to achieve, three (R9-R11) are explicitly assigned to its Component 3, namely:

- Promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living;
- Developing of legal framework on unified standards for defining social assistance benefits, target groups, low income families; and,
- Improving care for poor rural people and disabled people as well public information and transparency on social assistance policy.

2.4.2. Topics

In 2015 Component 3 was contributing to achieve all three results by performing research activities (related to the topics) within each of them (3.1.1; 3.2.1; and 3.3.1).

Table 9 – Topics considered in 2015, Component 3

ID	DESCRIPTION	R.	DATE STARTS
1	Component 3 - Improving of legal framework and policy for social assistance		
3.1.1	Legal framework on Social Assistance	9	Apr 2015
3.2.1	Experiences on unified standards for calculation of Social Assistance benefits	10	Jul 2015
3.3.1	Social Assistance specific vulnerable groups - services for children, elderly, people with disabilities, with a special focus on poor rural people	11	Jul 2015

In 2015 the priority was given (according to the PAC decision) to the legal framework on Social Assistance. The final titles and the content of the topics/reports are a subject of the decision depending on expectations and needs expressed by the Chinese stakeholder (MoCA) based on the dynamic socio-economic situation of China. This means that they could differ from them proposed in the grant application form.

2.4.3. *Situational analysis*

In 2015 - in line with the Grant application form (Macro-activity 0.1), C3 EU Resident Expert together with the main Chinese expert provided an analysis reports on baseline data and the audience for project results for Component 3 activities. They are available at http://mbrezachina.eu/C3_baseline_data&audience_reports/.

The 2015 Activity plan for C3 endorsed by the PAC meeting on April 21st, 2015 states: "For each of the three topics to be considered under the project Component 3 in 2015, the project will conduct a situational analysis of the Chinese circumstances".

For three topics of the Component 3 in 2015, the situational analysis of the Chinese circumstances was prepared. Situational analysis due to the specifics of the Chinese social assistance system and its dynamic were supported by local study visits of the Chinese short-term experts and C3 EU Resident Expert.

Table 10 – Situational analysis in 2015

TOPIC	TITLE	INCUBENTS	OUTPUT	OBSERVATIONS
3.1.1	MAIN CN EXPERT RESEARCH 3.1.1 Legal framework on Social Assistance subtopics: 2;4; RESEARCH 3.1.1 Legal framework on Social Assistance subtopics: 1;3; RESEARCH 3.1.1 Legal framework on Social Assistance subtopic: 5;	Guo Yu Cao Feng Kong Fanhua	1 report CN and EN versions	FIRST BATCH
3.2.1	Experiences on unified standards for calculation of Social Assistance benefits	Guo Yu Gong Shuge	1 report CN and EN versions	SECOND BATCH
3.3.1	Social Assistance specific vulnerable groups - services for children, elderly, people with disabilities, with a special focus on poor rural people	Zuo Ting Gong Shuge	1 report CN and EN versions	SECOND BATCH

Due to the absence of a Project dedicated website, the corresponding reports are temporarily published on the C3 EU Resident expert website, and can be accessed from http://mbrezachina.eu/2015_C3_assesment_reports/.

2.4.4. *EU best practices*

Component 3 identification of the most relevant best practices from the EU for China was provided in strong cooperation and consultation with MoCA. The first experience on the EU practices in the field of Component 3 was shared with MoCA and other Chinese experts on the occasion of the first panel discussion in June 2015. The exchange was limited to a video conference with Ms. Zofia Czepulis-Rutkowska, expert in the field of legal provisions of social assistance in Europe. This kind of exchange was done on a voluntary basis since MoCA at that time was in favor to postpone any EU experts visits having in mind that the main focus should be on the situational analysis in China before asking on the most suitable experience and practice from the EU to be adaptable in China.

The comprehensive exchange of the good practices from the EU was done on discussion with C3 EU Resident Expert Level Forum. See http://mbrezachina.eu/HLF_2015/

On the occasion of the EU-China HLF Ms. Zofia Rutkowska was the only EU expert selected for the mission due to the very limited number of applications received (including these from the Consortium member countries) which responded the professional needs and level of the expertise expected from the potential incumbents. Main reason for that as identified later on was the inadequate access to the Project webpage where the call has been published.

For the second batch of research and the 2nd panel discussion on the topics 3.2.1 and 3.3.1 Component 3 was able to select and ensure two missions for two EU experts Ms. Monika Gabanyi and Ms. Lacramioara Corches. Both experts contributed actively to the panel discussion hosted by MoCA on Dec 4th, 2015 and provided solid base of the knowledge on the best practices report for each of the topic.

Those are available at http://mbrezachina.eu/2015_C3_EU_best_practices_reports/

Additionally, experts' advice has been asked by MoCA on complementary contributions (on the assessment process for the cash benefits based on the Romania experience and on the NGO sector delivering social services based on German experience) which are not submitted by them yet due to the lack of the contracts even asked for them to the Project Secretariat just after receiving the request and accept from the Component 3 Coordinator and the Project Leader. Starting from first months of 2016 it is expected that thanks to the Project Leader direct involvement, the issue should be arranged first by contracting the experts and then sharing requested reports with MoCA.

The C3 results as defined for the Project⁵ are strictly related to technical knowledge, competences and skills of social assistance staff (R9). On the other side (topic 3.2.1) calculation, payment and assessment process of cash benefits should be developed. EU best practices report (based mainly on the Romanian experience) directly indicates practices and IT procedures used for social assistance benefits assessment and payment. This is very much relevant for the Dibao departments on central and local levels.

⁵ R9: The capacity of the MoCA for promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living are strengthened; the skills of local officials in policy transmission and implementation are upgraded.

R10: The legal frameworks on a) formulation of unified standards for the estimation and calculation of social assistance benefits, b) recognition of social assistance target groups and c) identification of low-income families are consolidated.

R11: Efforts of the MoCA in improved care for poor rural people and disabled people are strengthened, and public information and transparency of social assistance policies are raised at provincial level.

Under R11 one of the key issues is delivering social services through the social organizations (topic 3.3.1). China has already some experience on involving social organization in the social assistance system; however, due to the ageing challenges and rising demand for social care services, it is an issue that requires further development and even new design for the role of NGOs in the Chinese society. This starts to be recognized by MoCA main C3 counterpart. In this regards the lesson learned from the European countries (mainly based on the original German example) is one of the most suitable since both regions (EU and China) are facing common challenges in terms of rapid ageing and economic slowdown. These have also opened the discussion on the effectiveness of social assistance to ensure a fair coverage across regions and to close the gaps between urban and rural areas.

2.4.5. High Level Event

The first high level event of the EU-China SPRP named 2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance was held in Beijing in September 2015. The overall number of participants from China and the EU exceeded 100 people, including ministers, other high level policy makers, experts and practitioners.

In line with MoCA request and the bilateral discussion with C3 EU Resident Expert, the Consortium under the leadership of the Polish Ministry of Labour and Social Policy (Component 3 Coordinator), has submitted for the event the Background information report on Social Assistance. This has included some main experiences and good practices on social assistance framework from the 7 EU Member Countries.

2015 High Level Forum was the first Project opportunity to exchange and share in front of a broader audience from China and the EU knowledge and practices in a view of current challenges for social assistance. One of the outcomes of the discussion will be the 2015 HLF report to be published this year and then disseminate through different channels. Since the main priority of Chinese government in terms of social assistance has been given to the legal framework of social assistance (R:10), the event with participation of all Consortium members has laid a suitable foundation (including country reports) for further progress of the Project outputs in this regards. Additionally, the event discussed NGOs activities for most vulnerable groups (R:11) and cash benefits/Dibao issues. During the two-day event with participation of several ministerial levels officials and policy makers (from both China and the EU) Project has significantly supported raising the awareness of new social challenges and proposing some potential solutions for social assistance, also considering the new wave of elderly population and so called 'new normal' in China. This type of activity (further development on a technical level – policy dialog meetings, study visits) also influences the social policy reforms and design of new instruments to be implemented on a local level.

2.4.6. Visibility

The visibility activities (due to the lack of project activities in the field mainly dedicated Project website) were based on the MoCA and EUD services as well some Consortium Member countries related webpage to the significant events within C3 done in 2015⁶.

⁶ Additionally during the period under review, MoCA posted on its website information concerning the Panel discussion held on 29 June 2015; more information on the SPRP project:

<http://mzzt.mca.gov.cn/article//shjzgjhz/xmjs/201601/20160100879261.shtml>

<http://www.mpips.gov.pl/aktualnosci-wszystkie/art.5528,7308,minister-mleczko-odwiedzil-chiny.html>

Information on the High Level Forum on Social Assistance was published on several webpages outside China;

<http://www.mpips.gov.pl/aktualnosci-wszystkie/art.5528,7387,ue-pomaga-chinom-w-dziedzinie-zabezpieczenia-spolecznego.html>

On the occasion of the preparation for the Project opening event which took place in September 2015, C3 component (in line with C1 and C2) had prepared text and iconographic materials for the production of a project newsletter – as foreseen in the Grant application form and aide-memoire adopted during the April 2015 PAC meeting. Those were submitted to the Project Leader and the Project Secretariat.

For the daily activities C3 is using the temporary website to share some relevant reports and note with the Project partners⁷. Meanwhile, to improve the visibility, a special column on MoCA's official website was opened to introduce the activity information on Component 3.

2.4.7. Human resources

One of the main obstacles of the Component 3 daily activities (within 2015) is the lack of the human resources and related to this issue enormous and time-consuming (even it was not foreseen as the activity of the Resident Experts according to the grant application form) communication between the C3 EU Resident Expert and the Project Secretariat⁸.

The Component 3 was mainly based on the C3 EU Resident Expert since the Component Assistant was asked to stop her contribution not having a contract after 4 months of work done for the Project. Another proposed operational expert has not been accepted by the Project Secretariat as an expert and proposed to take the position of an Assistant but did not receive the contract till the end of 2015. Starting from first months of 2016 thanks to the Project Leader direct involvement, the issue has been arranged to fix contracting and payment for the C3 staff from 2015.

In the table 11 all human resources proposed by the C3 EU Resident Expert and partly contracted by the Project Secretariat as far as the C3 staff, Chinese and EU experts are concerned.

<http://www.esn-eu.org/news/684/index.html>,

http://www1.seg-social.es/ActivaInternet/BuenasPracticas/REV_034798

⁷ <http://mbrezachina.eu/>

⁸ Starting from 2016 a new Project Secretariat has been established for Component 3 (together with Component 1) which is Expertise France. The decision has been made by the IMC in Dec 2015.

Table 11 – Human resources of Component 3

EU-CHINA SOCIAL PROTECTION REFORM PROJECT COMPONENT THREE - MONITORING OF HUMAN RESOURCES - 2015 SITUATION AT 31.12.2015.							
#	CATEGORY			POSITION	DURATION W/D		INCUMBENT
	CN EXPERT	EU EXPERT	OFFICE		ALLOC.	CONTRACT	
1		X		C3 EU RESIDENT EXPERT	FULL-TIME	FULL-TIME	MARZENA BREZA
2	X			CN EXPERT (OPERATIONS COORDINATOR)	27	NO	NOT ACCEPTED BY FORMEZ
3			X	COMPONENT ASSISTANT IN PROGRESS	60	60	HUO LEI / WENHUI WANG
4	X			CN MAIN SHORT-TERM EXPERT RESEARCH 3.1.1 LEGAL FRAMEWORK OF SOCIAL ASSISTANCE - SUBTOPICS 2, 4 AND COORDINATION 3.1.1. 3.2.1 EXPERIENCE ON UNIFIED STANDARDS FOR CALCULATION OF SOCIAL ASSISTANCE BENEFITS, SUBTOPIC 1 AND COORDINATION. 3.3.1 SOCIAL ASSISTANCE FOR SPECIFIC VULNERABLE GROUPS – SERVICES FOR CHILDREN, ELDERLY, PEOPLE WITH DISABILITIES, WITH A SPECIAL FOCUS ON POOR RURAL PEOPLE - SUBTOPIC 4.	91	91	GUO YU
5	X			CN SHORT-TERM EXPERT RESEARCH 3.1.1 LEGAL FRAMEWORK OF SOCIAL ASSISTANCE - SUBTOPIC 5.	30	30	FANHUA KONG
6	X			CN SHORT-TERM EXPERT RESEARCH 3.1.1 LEGAL FRAMEWORK OF SOCIAL ASSISTANCE - SUBTOPICS 1, 3.	30	70 / 30 paid	CAO FENG
7		X		EU SHORT-TERM EXPERT ON THE OCCASION OF THE HIGH LEVEL FORUM ON SA	10	7	ZOFIA CZEPULIS-RUTKOWSKA
8	X			2015 HLF on SA speaker	2	2	WANG YANZHONG
9	X			2015 HLF on SA speaker	2	2	GONGCHENG ZHENG
10	X			2015 HLF on SA speaker	2	2	GUAN XINPING
11		X		2015 HIGH LEVEL FORUM ON SOCIAL ASSISTANCE; EU SPEAKERS	DECIDED BY THE PL		NO DATA AVAILABLE BY C3 COORDINATOR
12	X			RESEARCH 3.2.1 EXPERIENCE ON UNIFIED STANDARDS FOR CALCULATION OF SOCIAL ASSISTANCE BENEFITS, SUBTOPIC 2 RESEARCH 3.3.1 SOCIAL ASSISTANCE FOR SPECIFIC VULNERABLE GROUPS – SERVICES FOR CHILDREN, ELDERLY, PEOPLE WITH DISABILITIES, WITH A SPECIAL FOCUS ON POOR RURAL PEOPLE SUBTOPIC SOCIALSUBTOPICS 1, 2 AND COORDINATION.	45	40	ZUO TING
13	X			3.2.1 EXPERIENCE ON UNIFIED STANDARDS FOR CALCULATION OF SOCIAL ASSISTANCE BENEFITS - SUBTOPIC 3 3.3.1 SOCIAL ASSISTANCE FOR SPECIFIC VULNERABLE GROUPS – SERVICES FOR CHILDREN, ELDERLY, PEOPLE WITH DISABILITIES, WITH A SPECIAL FOCUS ON POOR RURAL PEOPLE SUBTOPICS 3	30	30	GANG SHUGE
14		X		3.3.1 EU SHORT-TERM EXPERT ON BEST PRACTISES	15	10	MONIKA GABANYI
15		X		3.2.1 EU SHORT-TERM EXPERT ON BEST PRACTISES	15	10	LACRAMIOARA CORCHES

3. Activity plan of 2016

3.1. Horizontal activities

3.1.1. *Visibility activities performed*

The visibility plan for 2016 foresees a strong effort from the new Project Secretariat of Horizontal Activities, in order to reestablish the adequate level of visibility and dissemination of the SPRP contents, activities and results achieved. The plan is expressed in the following communication strategy.

The Project represents an important example of international cooperation between countries. In view of the key and sensitive realm of action, which is connected with the development of social equity and inclusiveness of economic development throughout the Chinese society, it deserves high-level visibility both at international and local levels. Notwithstanding the importance of the programmes implemented, visibility activities carried out during the first year of the Project were not up to expectations due to the structural problems that the EU MS will solve through the new Operating Procedures adopted by the Consortium.

During 2016 the Horizontal Secretariat intends to start a real promoting action of the Project and its events, in terms of dissemination of information to an identified audience, using specific channels⁹.

In the coming year, the dissemination activities will be addressed both to European audience and to the Main Chinese Stakeholders. Anyway, visibility actions will be focused above all on Chinese Audience, in order to guarantee the real alignment of activities to the needs of the Main Chinese Stakeholders and give an adequate local visibility to the Project events.

A Communication Strategy, according to objectives of Macro-Activity 0.2 of the Grant Application Form, will be drafted and shared with the Project Consortium, Resident Experts, Component Coordinators and Chinese Stakeholders, in order to detail all visibility activities that will be carried out in 2016.

3.1.1.1. *Design and Management of the project Website.*

The Horizontal Secretariat will manage a dedicated Project Website which has been developed by SISPI, published at the official web address of the Project www.euchinasprp.eu which has been already purchased. A mirror site will be also developed as URL on SISPI website, in order to ensure that the contents of the official website remains available after the end of the project.

The new website includes the following features and functionalities:

- **Search Engine.** It allows to seek on the whole public area of the website part or a whole word, or a sentence.
- **Download Section For Project Materials.** Some materials will be available to all users and others will be limited to specific categories of registered users (eg. only accredited participants can download minutes of the Project official meetings).

⁹ All texts in English and in Chinese Language, including project website, leaflets, brochures, will be proofread by a native speaker for ensuring that the message the Project wants to convey is correctly formulated.

- **Download Centre.** It is a private area “My Page”, open only to specific registered users of Consortium, in which will be available personal documents such as travel request forms (which could be updated online), flight tickets, hotel reservation and all project Document that have to be shared among Consortium Members. All registered user can upload and download documents in folders specifically created.
- **Events Scheduling Section.** In this Section the user can register himself or third parties as participant to a Project event and receive the enrollment confirmation by email that will include a QR Code providing access to enrollment information through a mobile phone. Also when meetings and events will be completed it will possible to display relevant information and download all related documents.
- **Tender and Recruitment Section.** In this Section will be inserted international tender notices, Terms of Reference and other related documents.
- **Contact Section.** In this Section user have the possibility to contact directly the Project Staff and he can choose to have a copy of his application by email.
- **Newsletter.** In order to highlight content updates to all website users, a biannual newsletter will also be implemented and published on the website. Upon registration will be available the newsletter dispatch via e-mail. The registration to the website will involve registration to the e-mail newsletter. The Newsletter will consist of 3 sections (one for each Component), collecting all news about events and activities organized within each Component.

Finally, according to the opinion of Main Chinese Stakeholders, it will be evaluated the using of Social Networks tools (eg. Weixin-WeChat Sina Weibo, Renren, Tencent, ecc.) in order to further share the visibility of the project.

All contents of the website will be available, at the beginning, only in English and within May 2016 they will also be implemented in Chinese.

3.1.1.2. Design and Management of a Project Electronic Directory of Contacts.

In order to ensure exchange and sharing of information and to facilitate the involvement of the different actors on topics of interest, it will be required to all the project stakeholders to register at the Project Directory of Contacts. Everyone can insert their experiences, competences, interests and contact, creating a network among people involved.

The mailing lists containing several dozens of addressees used during 2015 for visibility actions of individual components will provide the nucleus of the official Project Directory of Contacts.

3.1.1.3. Customization of the Communication Strategy for each event.

The Grant Application Form, in paragraph 2.1.2.3, outlines which are visibility tools that may be used for each Project event, highlighting that such hypothesis should be shared with the Chinese Stakeholder in order to ensure the most suitable and appropriate communication strategy for every single event.

During 2015, for events held in China, main national stakeholders took care of visibility issues in liaison with the Consortium.

Concerning events in Europe, during 2015, visibility activities have been correctly designed and conducted by the host countries themselves.

Therefore experience has shown that the host countries of Events are capable of handling visibility issues better than every other entity which systematically decides and implements centrally about Visibility Actions.

Anyhow it should be also considered that, according to the Grant Application Form, the Horizontal Secretariat is in charge for Visibility Actions. Communication activity tasks, which are formally entrusted to the Horizontal Secretariat should not be neglected and consequently it will be fully available to support, in line with the Communication Strategy, in cooperation with Resident Experts, in managing customization of the visibility activities that will be requested for Events in China and in Europe.

3.1.1.4. Promotional objects

The project has produced and started disseminating promotional objects in 2015, notably notebooks of different formats.

It is envisaged in 2016 to order, according to Resident Experts specific request, other visibility material such as bags in natural fiber bearing the project logo to disseminate among participants to the various events, USB keys and pens with project logo.

3.1.1.5. Implementation of Visibility Actions

The Horizontal Secretariat will deal with design, printing and dissemination of Brochures, Leaflets and Project Information Reports. Furthermore, the Horizontal Secretariat will organize, each time there will be a relevant event, Press Releases for both traditional and electronic newspapers and will provide support in preparing Audio and Visual materials suitable for television and Web dissemination. These activities will be performed one month in advance of the Event execution and will last until the end of the Event.

The Horizontal Secretariat will provide support as and when requested for visibility activities conducted within the framework of individual components or by Chinese main stakeholders.

Lastly, at the end of the Project, the Horizontal Secretariat will provide commemorative plaques.

3.1.2. High level event

During 2016 it is foreseen the execution of the High Level Event on Component 1 about *2016-2020 Perspectives for Employment policies and Social security reform*, as better deepened in the related paragraph.

3.1.3. Coordination and monitoring

The coordination and monitoring foreseen for 2016 will remain in line with that already described in the section dedicated to 2015, also establishing that all the supporting tools needed for this purpose to the project will be made available and operative. However, pragmatic adjustments have already been made to cope with the respective roles newly assigned to the Component and Horizontal secretariats

(see para.1.2 above), notably concerning the terms of references, the identification and the recruitment of experts and consultants.

A new set of draft procedures has been designed and is being circulated among Consortium partners for review and suggestion before finalization and adoption by the IMC.

The new project structure proposed by the Consortium will allow for a more efficient and smooth management of supporting activities, also thanks to the provision of important tools like:

- the Project website,
- the budget management,
- the selection of experts,
- the collection and conservation of project documentation,
- the maintenance of a directory of contacts
- The quarterly Components' Dashboard, a project management tool based on key indicators giving evidence of the activities' progress on a three-month basis.

Pivotal is also the role of the Project Leader, as he can foster directly from China the activities' coordination, including by transversally harmonising the work across the three components and by ensuring an open channel of communication between scientific and institutional/organizational activities.

Moreover, periodical conference calls among the main project stakeholders and Consortium Partners have been scheduled to further the improvements in the area of communication, particularly in occasion of activities or events to be planned and performed.

Finally, activity plans broken down by activities and deliverables are defined for each component on a six-monthly basis. This allows timely identification of any lag or delay against the agreed schedule, securing a prompt mitigation of the related risk.

3.2. Component 1

3.2.1. Overall objective and results to be achieved

The Component proposed activity plan for 2016 will correspond to the first year of implementation of the country national XIIIth Five-Years plan – 2016-2020. Although proposals made by NDRC and tentatively agreed upon with the C1 project team anticipate on decisions likely to be taken, for which activities conducted in 2015 represented an appreciated input, changes may always intervene during the final discussions leading to the formal adoption process of the national Plan, which might impact on NDRC priority ranking for support from the Project.

The first draft of the XIIIth Five-Years Plan was submitted to the plenum of the Communist Party of China Central Committee in October 2015. A detailed outline to be subsequently revised, presented in March 2016 to the fourth session of the twelfth National People's Congress. Proposals concerning social protection reform are to be found under heading 7.

State programmes proposed under this heading include extending the provision of public service, launching poverty reduction programmes¹⁰, elevating the quality of education, promoting employment and entrepreneurship, minimizing income disparity, establishing the social security system of greater fairness and sustainability, building a healthy China through health system reform, and promoting the balanced development of population.

Several of these areas for reform fall within the range of topics identified for possible project action under one of the project Components. Some of them have already started being explored as part of the 2015 programme of activities of the SPR project for Component 1 – and the contents proposed to the National People's Congress indeed echo to activities already conducted or hereafter proposed for implementation in 2016.

3.2.2. Topics to be covered

NDRC primary choice for technical topics to be selected for implementation in 2016 was to limit those in numbers, owing to the otherwise already dense composition of proposed activities.

It was therefore suggested during the encounter with interested members of the consortium on 17 September 2015 that, outside preparation for the High Level Event scheduled to take place in 2016 for Component 1, attention should focus on two key topics, namely that of Portability and Vesting of pension rights – topic 1.4.3 - for which works conducted in 2015 would be deepened, and the Challenges for pension schemes of an Ageing population – topic 1.3.7 – which was retained as a key issue in one of the project proposed pilot sites (Shanghai city).

Provincial pilot sites (Guangzhou and Sichuan Provinces) subsequently requested that a Study tour to Europe be organised focusing on their identified priorities, corresponding to social insurance management (topic 1.1.1), vesting and portability of pension rights (topic 1.4.3) and more generally adaptation of social security to changing economic and social conditions (topic 1.2.2).

Furthermore, in view of the contents envisaged for the 2016 High Level Event to be organised under the framework of Component 1, namely 2016-2020 Perspectives for Employment policies and Social security reform, it is anticipated that research to be conducted for the preparation of the Event would

¹⁰ A number of these measures are employment-based, which will be the subject of specific activities in 2016 under the framework of Component 1 of the project

address topic 1.4.2 - Relationship between social-economic development and the redistribution function of social security -, since the protective functions of social security mechanisms should act in support of socio-economic development, and not be detrimental to the broadening of employment opportunities.

NDRC also requested that the project Component 1 organize in Spring 2016 in Beijing a Policy Dialogue and International workshop on Employment and Social security policies to be used as a dry-run to the High Level Event, initiating a high level dialogue with European Commission top officials in the area of employment-related social security issues, with input from the project expertise. In terms of technical topic to be addressed, this dialogue, conducted on macro-economic and policy issues, would focus on the relevance of social protection systemic approaches for employment promotion.

In order to better recognize the specificity of this area of work, and to facilitate the pursuance of related goals, it was considered useful to add to the existing list of topics under Result R.2 (“Under the leadership of NDRC, coordination of policy making among government agencies in areas related to social protection reform is strengthened”) a new topic 1.1.3, entitled Monitoring interaction between employment promotion and social protection policies.

The consideration of this topic under result R.2 responds to the fact that employment promotion is also the result of multiple sectorial policies, to be conducted by and in cooperation with a variety of Government organs.

It is also proposed to introduce under R.3 – and notably concerning Policy evaluation – a new topic 1.2.3 entitled Sustainability of pension schemes (contributions and government subsidies), responding to the fact that, under the “new normal” situation the respective roles and levels of intervention of enterprises and the Government (central and local) in the financing of pension scheme have to be carefully monitored in view of the need to optimize the allocation of resources less affluent than in the past.

The table below presents the topics to be considered in 2016 under C1 Plan of activities, with mention of the corresponding relevant technical activities.

Table 12 – Topics proposed for consideration in 2016

Result	Topic#	Title	Observations	Technical Vehicle
R.2	1.1.1	Social insurance administration systems reform	Recurring topic. A Chinese expert produced in 2015 a special report on the Chinese social insurance management reform.	Provincial Study visit Provincial Training

Result	Topic#	Title	Observations	Technical Vehicle
R.2	1.1.3 (new)	Monitoring interaction between employment promotion and social protection policies	Social protection policies have a cost that may have some bearing on employment creation. Conversely, proper social protection policies have proven to be very powerful tools to protect and promote quality, sustainable employment.	Research report International workshop HLE Employment & Soc.Security Dialogue and study visit
R.3	1.2.3 (new)	Sustainability of pension schemes (contributions and government subsidies)	In the “new normal situation” affecting the contributory capacities of enterprises and the redistributive capabilities of the Government at all levels, it is of particular importance to carefully review whether existing schemes and proposed reforms are affordable and sustainable in the short, medium and long term.	Research report International work-shop HLE Employment & Soc. Security Provincial Study visit
R.4	1.3.7	Ageing population and possible strategy of dealing with this situation	Key technical topic. Also direct link with sustainability	Research report Training course
R.5	1.4.2	Relationship between social-economic development and the redistribution function of social security	Key to balanced schemes – social efficiency and equity considerations. Also relation with the labour market	Research report HLE Employment & Soc. Security
R.5	1.4.3	Strategy of integrating social security system in urban and rural context also through the portability of social insurances	Continuation at operational level of work initiated in 2015. Also important for the balance of the system (universality of coverage)	Technical cooperation Provincial Study visit Provincial Training

3.2.3. Activities to be performed

Following the decision made by the Consortium, meeting in Rome on 3 December 2015, Expertise France has been requested to henceforth act as Secretariat for both Component 1 and Component 3, while continuing to ensure coordination for Component 1 on behalf of the partners.

New provisions have been made, to ensure a smooth implementation of activities including for human resources management. Contracts for Chinese staff and experts will be concluded and followed upon in China, and the C1 Resident expert will benefit from more facilities to conduct daily operations in China.

A new budget identifying more precisely resources available for each component under specific activities has been agreed upon among consortium members, and will be approved within the first semester of 2016.

Human resources to be used by C1 during the year 2016 for implementation of its plan of activities are summarized in the table 13, while other budgetary provisions required for the implementation of these activities are identified in the table 14.

The reserve of 45 w/d established for Chinese experts can be mobilized in case a topic or an activity appear to warrant more input than what was initially foreseen. This provision may apply to main Chinese experts, when the main Chinese stakeholder and the Component coordinator agree to it. Similarly, the reserve of 35 w/d instituted for European experts can be mobilized to cover unforeseen needs, including for activities that appear to be insufficiently funded.

Table 13 – C1 Proposed use of Human resources (2016)

COMPONENT 1 - PROPOSED USE OF HUMAN RESOURCES, 2016 ACTIVITY PLAN						
Used Y.1	Years 2 to 4	Year 2		Total	Balance Yr2	Activities / Observations
386	945	370	C1 Chinese expert staff	340	45	
91		120	<i>Main Chinese expert 1 Zhang Guoqing</i>	120	0	Monthly instalments
55		75	<i>Main Chinese expert 2 Fang Lianquan</i>	75	0	Monthly instalments
			CN EXPERT AGEING	20		<i>AR* 1.3.7 (Ageing)</i>
			CN EXPERT PORTABILITY (CTD)	20		<i>Technical cooperation 1.4.3 (Migrant Workers)</i>
			CN EXPERT EMPLOYMENT	20		<i>AR* 1.1.3 Employment & Social security</i>
			CN EXPERT EVALUATION	20		<i>AR* 1.2.3 (Affordability & Sustainability)</i>
			CN EXPERT REDISTRIBUTION	20		<i>AR* 1.4.2 (Eco.dev. & Redistribution)</i>
			CN EXPERTS INTERN.WKSHOP EMP&SS (2)	10		
			CN EXPERTS HLE (4)	20		<i>*AR= Assessment Report</i>

COMPONENT 1 - PROPOSED USE OF HUMAN RESOURCES, 2016 ACTIVITY PLAN						
240		175	Total other C1 CN experts	130	45	
54		100	Component assistant Xu Chenjia	100	0	Monthly instalments
216		200	Resident expert C1 JV Gruat	200	0	
30	542	180	C1 EU Public sector staff			
			EU Expert 1	20		Keynote speaker, Employment dialogue 1.1.3
			EU Expert 2	20		Best practices Ageing 1.3.7
			EU Expert 3	20		Best practices Affordabilit & Sustainability 1.2.3, 1.4.2
			EU Experts 4	20		Migrant workers 1.4.3
			EU Experts 5 & 6	10		Experts, Employment dialogue (3)
			EU Experts 7 TO 13	40		Experts, HLE (8)
			EU Experts 14 TO 16	15		Training, Ageing (3)
			Total 1 EU Experts	145	35	

Table 14 – C1 Budgetary commitments to be made, qualitative

Title	Project budget to cover	Title	Project budget to cover
5-topics Workshop, Beijing	Publication assessment reports, mission Best practices expert	Dialogue & study visit, Belgium and France	Local organization and transportation costs Three international tickets
Provincial training, GD or SC, Activities Rural-urban integration	Mission costs, EU experts and C1 team	Panel discussion on 2016 topics (preparation for HLE)	Cost mission best practices expert(s) CN situation analysis reports
Visit to Shanghai Pilot site	Mission costs, EU expert and C1 team member	High level event on 2016-2020 Perspectives for Employment policies and Social security reform	Preparatory CN and EU reports, international transportation and per diem for EU participants, local organization costs
Policy Dialogue and International Workshop, Employment & Social security	Preparatory CN reports, EU studies, mission costs EU experts non EC officials	Dialogue & Study visit Czech R. & France Provincial & NDRC HQ officials, SS management	Local organization and transportations costs Possibly 2 international tickets
Training, Spain, Ageing and Social security	Local organization and transportation costs Three international tickets	Dialogue & Study visit Germany, NDRC HQ officials (combined with preceding)	Local organization and transportation costs Possibly 2 international tickets

3.2.4. Events to be organized

Policy dialogue and international workshop on employment and social security

NDRC is willing to enter into substantive high-level dialogue with the European Union on the issue of employment policies. High-level dialogue is to address the subject at the macro-economic policy level, among high-level officials, with a limited support from experts. Since one of the objectives of the project is precisely to establish such kind of dialogue, while social security definitely bears very close relations with employment issues, it was considered appropriate to propose to organize, in April 2016 in Beijing, a Policy Dialogue and International workshop on Employment and Social security bringing together for a combined one day meeting under the auspices of the project Component 1 high level Chinese officials and officials from the European Commission (DG Employment, Social Affairs and Inclusion) as well a limited number of experts – attendance should not exceed 15 persons from each side.

This activity would be part of pursuing project results 2, 3 and 5 – notably topics 1.1.3 (new), 1.2.3 (new) and 1.4.2 - inasmuch as the question at stake would be the contribution of social security to employment promotion, the influence of its redistributive role on employment creation and the related evaluation of achievements or impediments. EU officials will normally cover the costs of their participation. Local costs are to be covered under NDRC in-kind contribution. The project financial contribution would be limited to experts financing, including participation in the workshop for those coming from Europe.

Preparing and organizing the high level event

After the successful organization in September 2015 in Beijing of the High Level Event dedicated to Social assistance under Component 3 auspices, it is foreseen that the second year (2016) high level event would be dedicated to Social security and that on this occasion *“specific issues focused by Component 1 in close collaboration with the NDRC will be addressed”*. For reasons linked to the desire of maximizing Chinese presence at the Conference, and also to ensure a high level leadership in attendance, it was decided to hold this event in China, probably in September 2016.

As for the topic, NDRC suggested that it be devoted to the review of *2016-2020 Perspectives for Employment policies and Social security reform*, which would entail the three missions of their department in charge of coordinating the project, namely employment, social security and income distribution.

The Event would be composed of five technical sessions (provisional titles): Macro socio-economic developments during the period 2016-2020; Supportive policies for employment and employment creation under the context of urbanization; full personal coverage by social security with adaptation to mobility; equity and inclusiveness of social security systems; sustainability of social security systems and financial reform. It has been proposed to devote one additional session to the on-going and prospective social security reforms in Europe and in China.

Three expert speakers are foreseen for each session, either two Chinese and one European, or vice versa. Participation of experts from international organizations is to be envisaged.

Conducting overseas activities

The 2015 programme of Overseas activities for the project C1 component has included three major events, namely a high-level technical workshop held in Brussels, a two weeks training held in France and a Dialogue and Study visit to three countries for ten days. It is the expressed desire of NDRC to include in what is to be called the *“Central programme of overseas activities”* for 2016 two similar events, with a two weeks training, and a Dialogue and study visit.

The Training activity will be organised in Spain – the Spanish Ministry of Employment and Social security has confirmed its agreement to the proposal. It is expected to take place in late June 2016, involving a maximum of 25 Chinese participants for two weeks (two cities) around the topic of Social security systems confronting the challenge of ageing population.

NDRC proposed that a Dialogue and Study Visit for a delegation of 6 persons from central level for eight days to Belgium and France be organized during the second half of 2016 around the general theme of Employment and Social security systems. This Dialogue and Study visit will also facilitate direct contact with the European Commission in Brussels, and with Expertise France as Component 1 coordinator in Paris.

This activity is not to be confused with the fact that some NDRC central officials will accompany provincial delegations in their visits abroad, as is being proposed in the following paragraph.

While the project framework was established on the basis of Central government financing international travel of its own officials as part of China’s contribution in kind to the project, rules subsequently introduced nationally make this kind of co-financing very difficult. The EU Delegation has therefore decided, at the request of main Chinese stakeholders, that the project could finance a limited number of

international tickets for Government officials, under specific circumstances, subject to the EUD previously secured concurrence. It is assumed that project activities proposed for implementation in 2016 will meet with such agreement as and when required.

Working with pilot sites

The Grant application form specifies (Macro-activity 1.11) that “In order to achieve the expected results (R2, R3, R4 and R5) NDRC could select regions of local levels (maximum three) in order to fit in the policy analysis carried out by the central level. “ Pilot sites selected in Sichuan and Shanghai would primarily contribute to the pursuance of result R.4 – national policy framework for full coverage of old-age insurance system -, while Guangdong pilot site would contribute to result R.5 – Reform in response to urbanization trend. It is also expected that, within each of the selected pilot sites, activities conducted would contribute to achieving result R.2 inasmuch as coordination of policy making and social insurance administration systems reform are concerned, as well as result R.3 through pilot activities contributing to the design and implementation of policy evaluation techniques at the local level.

Following the visit to two of the three pilot sites conducted in September 2015 respectively in Guangdong and Sichuan Provinces a list of suggestions for cooperative activities was received from Provincial authorities in both locations.

Some joint activities were already conducted in November 2015 to start responding to the request from pilot sites. A one or two-days training involving European experts should be organized for both pilot sites during the first half of the year 2016. Topics to be addressed during the training would be those identified for that purpose by the pilot sites themselves, i.e. European social security principles and reforms, Social security management, financing and monitoring, Vesting and portability of social security rights. The training would contribute to achieving results R2, R3 and R5. The venue of the training will be either Huizhou city in Guangdong province, or Luzhou city in Sichuan province.

Further, it has been proposed by NDRC after consultation with the C1 team conducted on the basis of the requests received, to merge overseas activities in 2016 for Guangdong and Sichuan representatives, and to propose to hold a joint study visit for 6 persons from each Province accompanied by NDRC HQ officials to two countries for 8 days in 2016.

The study visit should contribute to achieving the project results R2 – Policy coordination and social insurance reform – and R3 – Evaluation technique – through exposure to advanced European best practices in that area, hopefully replicable after adaptation to the Chinese context . The topic for the visit would be Improvements in social security under conditions of economic and social transition (design, contribution levels, procedures and portability, administrative agencies). Countries to be visited are the Czech Republic and France. It is considered that Central level officials accompanying the delegation could then proceed to Germany, which is a country of particular interest for social security reform – including in terms of measures to cope with demographic ageing.

The visit would be conducted after the High Level Event, i.e. normally at the end of October or early in November 2016.

The 2016 programme of activities for Shanghai pilot site was discussed in situ on the occasion of a short mission undertaken jointly by NDRC and the C1 team on 3 February 2016. Since Shanghai was selected as a pilot specifically for questions related to population ageing and social security, this mission provided the opportunity to get suggestions from Shanghai authorities concerning the proposed

contents for the two-weeks training course on the same topic to be held in Spain in late June 2016 – which contributes to pursuing the project result R4.

3.2.5. *Outputs to be realized*

As was the case in 2015, it is expected that, for each of the new topics to be considered under the C1 2016 Activity Plan, a detailed analysis would be produced by a Chinese expert, and that most relevant European best practices would be identified through the fielding of a high level European social security specialist, to enter into substantive technical discussion with Chinese authors and stakeholders.

Analysis conducted under relevant technical topics will be used as preparatory materials for corresponding technical activities, namely the Training course in Spain on Ageing (topic 1.3.7), the Policy dialogue and International workshop on Employment and Social security (topic 1.1.3), the High level event on Employment and social security policies (topics 1.1.3, 1.2.3, 1.4.2), the Dialogue and Study visit on Employment and Social security policies (topics 1.1.3 and 1.2.3), the Provincial Study visit (topics 1.1.1, 1.2.3, 1.4.3).

Mid-term reviews with the authors and a European best practices expert would be organised in due time, for the documents to be finalized ahead of the event at which they would formally be presented (briefing for training course, preparation for study visits, international workshop, high level event). In order to produce relevant European materials for both the International workshop and the High Level Event, C1 component coordinator will call upon European specialists from within and outside the Consortium to produce focussed analysis of relevance for the Chinese situation. It will be made use of the provisions contained in the project budget (Studies and Research, line 6.2) to conduct a comprehensive analysis of the interaction between Social security and Employment promotion policies in the European context.

The table below presents the main landmarks of C1 Activity plan for the year 2016.

Table 15 –Component 1 Milestones, Year 2016

Title	Timing	Title	Timing
Rural-Urban integration, one month mission	TBD	Dialogue & study visit, Belgium and France	2 nd half
Provincial training, GD or SC	1 st /2 nd Q. 2016	Panel discussion on 2016 topics (preparation for HLE)	Jul.2016
Visit to Shanghai Pilot site	Feb.2016	High level event on 2016-2020 Perspectives for Employment policies and Social security reform	Sept.2016
Policy Dialogue and International Workshop, Employment & Social security	Apr.2016	Dialogue & Study visit Czech R. & France Provincial & NDRC HQ officials, SS management	Oct.2016
Training, Spain, Ageing and Social security	Jun.2016	Dialogue & Study visit Germany, NDRC HQ officials (combined with preceding)	Oct.2016

Table 16 – Component 1 Milestones, Year 2016

Topic #	Activity item	Input	Deadline semi-final draft	Best practices	Output/Deliverable	Final Use	Date
1.1.1	Social insurance management reform	Existing documentation	-	-	Training & Briefing materials	Provincial training course	1 st /2nd Quarter 2016
						Provincial Study visit	October 2016
1.1.3	Sit. Analysis Monitoring interaction between employment promotion and social protection policies	CN Expert	April	Yes	Report	International workshop (Ppt)	April 2016
						High Level Event	September 2016
	Research Interaction between employment promotion and social protection policies in Europe	Academic study	April	-	Report	International workshop (PPT)	April 2016
						High Level Event	September 2016
1.2.3	Sit. Analysis Sustainability of pension schemes (contributions and government subsidies)	CN Expert	July	Yes	Report	High Level Event	September 2016
						Provincial Study Visit	October 2016
						Dialogue & Study Visit	2 nd half 2016
1.3.7	Sit. Analysis Ageing population and possible strategy of dealing with this situation	Visit SH Pilot	1st Q.2016	-	Mission report /Input into Sp.Training	2-weeks training, Spain	June 2016
		CN Expert	April	Yes	Report		
1.4.2	Sit. Analysis Relationship between social-economic development and the redistribution function of social security	CN Expert	July	Yes	Report	High Level Event	September 2016
1.4.3	Strategy of integrating social security system in urban and rural context also	EU Expert	-	-	Report	Consultancy Vesting & Portability	1st Quarter 2016
					Training material	Provincial training	1st Quarter 2016

Topic #	Activity item	Input	Deadline semi-final draft	Best practices	Output/Deliverable	Final Use	Date
	through the portability of social insurances	Existing documentation			Briefing material	Provincial study visit	October 2016 ?
1.1.3 1.2.3	Policy dialogue & Intern. Workshop on Employment and Social security	3 EU Experts + 1 High level + Expertise France rep. + 2 High level officials from EC	-	-	Power point presentations	High Level Event	April 2016
1.1.3 1.2.3 1.4.2	High Level Event 2016-2020 Perspectives for Employment policies and Social security reform	Up to 8 EU experts + Consortium representatives	July	-	Reports		September 2016

3.2.6. Revised Gantt

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 1	
		M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24	M25	M26	M27
		I 16	II 16	III 16	IV.16	V.16	VI.16	VII.16	VIII.16	IX.16	X.16	XI.16	XII.16	I.17	II 17
1	Identification Phase														
1.0	Brief diagnosis, baseline data, audience of project results (macro-activity 0.1)														
1.1.	Situational Analysis														
1.1.1	In-depth analysis on the topics 1.1.1; 1.3.2; 1.3.4														
1.1.2	In-depth analysis on the topic 1.3.1; 1.4.3														
1.1.3	Preparation of the Situational Analysis Reports for topics 1.1.1; 1.3.2; 1.3.4														
1.1.4	Preparation of the Situational Analysis Reports for topics 1.3.1; 1.4.3														
1.1.5	In-depth analysis topics Year II (1.3.7 Ageing + 1.1.3, 1.2.3 and 1.4.2. related to HLE)														
1.1.6	Preparation of the Situation Analysis Reports for topics Year II														
1.2	Identification and review of possible relevant EU experience														
1.2.1	Preparation of General Country Reports (cross topics)														
1.2.3	Involvement of other EU Contries in the research on EU Best Practice on the specific sub-topics														
1.2.3	Research and preparation of reports on other EU Countries Best Practices on the specific sub-topics														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 1	
		M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24	M25	M26	M27
		I 16	II 16	III 16	IV.16	V.16	VI.16	VII.16	VIII.16	IX.16	X.16	XI.16	XII.16	I.17	II 17
1.3	Panel discussions with stakeholders on the Identification Phase														
1.3.1.1	Preparation of Panel discussion contents on possible EU Best Practices to apply in China about the specific topics 1.1.1; 1.3.2; 1.3.4														
1.3.1.2	Preparation of Panel discussion contents on possible EU Best Practices to apply in China about the specific topics 1.3.1; 1.4.3														
1.3.2	Identification and travels organisation of EU Expert on the topics included in Panel discussion														
1.3.3	Meetings to share and discuss the EU Best Practices and to select models to be possibly applied in China														
1.3.4	Preparation of reports with the Panel discussion results and sharing with the Main Chinese stakeholders														
1.3.5	Preparation and holding of panel discussion, Year 2														
	Analysis Phase														
1.4	Specific analysis of relevant EU experience														
1.4.1.1	Technical feasibility of EU MS Best Practices to be introduced in China and preliminary reform proposals about the specific topics 1.1.1, 1.3.2, 1.3.4														
1.4.1.2	Technical feasibility of EU MS Best Practices to be introduced in China and preliminary reform proposals about the specific topics 1.3.1, 1.4.3														
1.4.2	Definition of a first draft of reform proposal														
1.4.3	Technical feasibility EU Best practices, topics Year 2														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 1	
		M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24	M25	M26	M27
		I 16	II 16	III 16	IV.16	V.16	VI.16	VII.16	VIII.16	IX.16	X.16	XI.16	XII.16	I.17	II 17
1.5	Training/Study Assignments to EU countries														
1.5.0	Workshop in Brussels on Public Sector pension reform														
1.5.1	3-Weeks training in France on Multitier pension systems (topic 1.3.4)														
1.5.1.1	Organisation training in Europe on EU MS Best Practices on topic 1.3.4 (France)														
1.5.1.2	Travels and logistic organisation of training														
1.5.1.3	Carrying out of Training														
1.5.1.4	Reports on Training in EU and sharing with the Main Chinese stakeholders														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 1	
		M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24	M25	M26	M27
		I 16	II 16	III 16	IV.16	V.16	VI.16	VII.16	VIII.16	IX.16	X.16	XI.16	XII.16	I.17	II.17
1.5.2	10 days study visit to Spain, Poland, the Czech republic on Migrant workers (topic 1.4.3)														
1.5.2.1	Organisation of Visit in Europe on EU MS Best Practices on topic 1.4.3														
1.5.2.2	Travels and logistic organisation of visit in Europe on EU MS Best Practices														
1.5.2.3	Carrying out of Visit in Europe on EU MS Best Practices														
1.5.2.4	Reports on Visit in EU and sharing with the Main Chinese stakeholders														
1.5.3	3-weeks training in Spain on the Challenge of old-age society (topic 1.3.7)														
1.5.4	3-countries Dialogue & Study visit on Employment and Social security systems - topics 1.1.3 and 1.2.3														
1.5.5	Accompany 1.10.7 + visit to a 3rd country (D) by 2 NDRC HQ officials to prepare for 2017 programme														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 1	
		M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24	M25	M26	M27
		I 16	II 16	III 16	IV.16	V.16	VI.16	VII.16	VIII.16	IX.16	X.16	XI.16	XII.16	I.17	II 17
1.6	Workshop with stakeholders on the Analysis Phase														
1.6.1	Preparation of Workshop contents on proposals about the specific topics														
1.6.2	Identification and travel organisation of EU Experts on the topics included in Workshop														
1.6.3	Meeting for discussing the contents previously identified on the on concrete final proposals														
1.6.4	Workshop closing event														
1.6.5	Preparation of a report with the Workshop results and sharing with the Main Chinese stakeholders														
1.6.6	Workshop Year II (same as HLE)														
1.7	International workshop on employment policies and social security														
1.7.1	Preparation of Workshop contents on proposals about the specific topics														
1.7.2	Identification and travel organisation of EU Experts on the topics included in Workshop														
1.7.3	Meeting for discussing the contents previously identified on the on concrete final proposals														
1.7.4	Holding workshop														
1.7.5	Preparation of a report with the Workshop results and sharing with the Main Chinese stakeholders														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 1	
		M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24	M25	M26	M27
		I 16	II 16	III 16	IV.16	V.16	VI.16	VII.16	VIII.16	IX.16	X.16	XI.16	XII.16	I.17	II 17
1.8	Elaboration of reform proposals														
1.8.1	1 month EU Expert advise at central and local (Huizhou, SC Pvce) levels - topic 1.4.3														
1.8.2.1	Final identification of reform proposals on topics 1.1.1, 1.3.2, 1.3.4														
1.8.2.2	Final identification of reform proposals on topics 1.3.1, 1.4.3														
1.8.3	Final identification of reform proposals on topics Year II														
1.8.4	Definition of a detailed plan activities for testing the proposals locally (if requested) and for the following stages														
1.8.5	Preparation of the final reform proposal document and sharing with the Main Chinese stakeholders														
Follow Up Phase															
1.9	Technical assistance and Tool development, training needs analysis with special reference to topics 1.1.1 and 1.4.3														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 1	
		M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24	M25	M26	M27
		I 16	II 16	III 16	IV.16	V.16	VI.16	VII.16	VIII.16	IX.16	X.16	XI.16	XII.16	I.17	II 17
1.10	Pilot activities														
1.10.1	Visit to pilot sites to prepare activity programmes														
1.10.2	Detailed analysis, Huizhou city														
1.10.3	Training activities in GD and SC Provinces (IT, management, vesting & portability)														
1.10.4.	Mission to GD and SC, EU expert on Migrant workers questions														
1.10.5.	Training activities abroad, pilot sites														
1.10.6	Reporting on pilot activities to other stakeholders														
1.10.7	10-days Study visit Czech Republic and Belgium for Provincial officials on improvements of social security management under conditions of economic and social transition (design, contributions levels, procedures and portability, administrative agencies) - topics 1.1.1, 1.2.3., 1.4.3														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 1	
		M14	M15	M16	M17	M18	M19	M20	M21	M22	M23	M24	M25	M26	M27
		I 16	II 16	III 16	IV.16	V.16	VI.16	VII.16	VIII.16	IX.16	X.16	XI.16	XII.16	I.17	II 17
Horizontal macro activities															
1.11	2016 High Level Event on more balanced and sustainable employment and social security policies														
1.11.1	Choose topic for high level event														
1.11.2	Prepare programme, choose venue, arrange logistics for HLE														
1.11.3.	Select reporters and researchers, conduct research														
1.11.4.	Prepare visibility activities related to HLE														
1.11.5	Hold HLE, report to all stakeholders														
1.12	Reporting and Evaluation														
1.12.1	EU Results-oriented mission														
1.12.2	Component reports														
1.13	PAC meetings														

3.3. Component 2

3.3.1. Overall objective and Expected results

The C2 team started to prepare the 2016 activity plan in October 2015, acting in strict collaboration with MoF. On November the 2nd, a first draft of the plan was discussed in a meeting between the C2 team and MoF; the draft clearly stated the research needs expressed by the Ministry of Finance. The activity plan was finalized in a follow up meeting held in December 2015.

The formulation of the activity plan did also take advantage of the results of the Panel Discussion and of the first Workshop that mainly focused on the Chinese pension system reform, to be carried on in line with the 13th Five Year Plan of the CPC, and on the survey of the EU pension systems based on NDC models.

Unfortunately, after 31 December 2015, all C2 activities were interrupted due to the need to implement a change of the secretariat (now SISPI) and of the Component Coordinator (now INPS). This caused relevant operational delays as well as the interruption of all experts' contracts, including that of the Resident Expert.

The present work plan, while acknowledging the priorities indicated by MoF, aims to recover the delay accumulated in the first part of 2016 by January 2017.

It is recalled that among the eleven results that the project is expected to achieve, three are explicitly assigned to component 2, namely:

- Project Result 6 – The capacity of MoF financial management and supervision of central and local model of social security system and the extension of social security system coverage are enhanced, in particular in the fields of division of expenditure responsibilities, mid -terms budgeting of fund, and performance assessment model.
- Project Result 7 – Enhance the top level design ability in the basic pension insurance; establish actuarial analysis models for basic pension insurance reform.
- Project Result 8 – The capacity of the MoF in the management of social insurance funds, focusing on fiscal support budgeting, account system, investment techniques and adjustment mechanisms for pension benefits is strengthened.

3.3.2. Topics to be covered in 2016

According to the agreement between C2 team and MoF, in 2016 C2 will be engaged in 6 of its 11 topics, and more specifically it is foreseen:

- To finalize the “situational analysis” and “analysis phase” of the four topics, which started in 2015 (2.1.1, 2.2.1, 2.2.2, and 2.1.2)
- To start the follow up phase of three topics (2.2.1, 2.1.2 and 2.2.2)
- To start the implementation of 2 new topics (2.1.4 and 2.3.2)

This plan, as explained in the following paragraph, is the result of the experience accumulated by the C2 team, but especially of MoF suggestions that have brought to modify the structure of some topics.

3.3.3. *New topics and modified topics*

Thanks to the 2015 experience, the C2 team had the possibility to better evaluate the adequacy of each topic and subtopic and better understand the priorities and main interests of MoF. Meanwhile, the definition of the 13th Five-Year Plan 2016-2020 has been providing an important reference point and a guide for a more correct identification of new social protection policies for the Chinese context. Moreover, during the meetings held in December 2015 among MoF, C2 Resident Expert and EU STE, MoF indicated its priorities in 2016 were on the following research topics:

Table 17 – Topics to be considered, Component 2¹¹

ID	DESCRIPTION	R	DATE STARTS
2.1.4	Social security benefits and the assessment of the household socio-economic conditions	6	July (second half)
2.3.2	Investment strategies of social funds and risk control methodologies	8	

Finally, it was also decided:

- To substitute the new topic “Social security benefits and the assessment of the household socio-economic conditions” to the topic “Mid Term budgeting of social security”;
- Given their similar content, to combine the old topic 2.1.4 “Mid Term budgeting of social security” with Topic 2.2.2 “Models and Methodologies for the Social and Economic sustainability analysis in social protection system”.

The topic 2.1.4 will now focus on the technical tools used to coordinate the system of social assistance resources. More specifically, the focus will be on the “Italian Equivalent Economic Situation Indicator System (ISEE)”, which attracted the attention of MoF when it was introduced by the President of INPS Mr. Tito Boeri and presented by Mr. Luca Sabatini (Central Director of Income Support Measures of INPS) during the 2015 High Level Forum.

As a consequence of all these new elements, it was necessary to revise the topics’ structure to adapt it to these new elements. The final structure of the Topics is reported on the following Table, where are highlighted in yellow the topics in which C2 will be engaged in 2016.

¹¹ The exact titles of the topics could be renamed in the process of situational analysis.

Table 18 – C2 Topics

Result 6: The capacity of MoF financial management and supervision of central and local model of social security system and the extension of social security system coverage are enhanced, in particular in the fields of division of expenditure responsibilities, mid -terms budgeting of fund, and performance assessment model.	
2.1.1	Division of decision power and expenditure responsibilities on social security between central and local government
2.1.2	Social security coverage on atypical employment: methodologies and tools of analysis and management
2.1.3	Fiscal policies in support to social security, leverage among different financial sources and efficiency of fund use
2.1.4	Social security benefits and the assessment of the household socio-economic conditions (new)
2.1.5	Models for evaluating effects of social security policy implementation
Result 7: Enhance the top level design ability in the basic pension insurance; establish actuarial analysis models for basic pension insurance reform.	
2.2.1	Nominal personal account reform in the basic pension insurance system
2.2.2	Models and Methodologies for the Social and Economic sustainability analysis in social protection system
2.2.3	Methodologies and Actuarial Models for pension insurance
Result 8: The capacity of the MoF in the management of social insurance funds, focusing on fiscal support budgeting, account system, investment techniques and adjustment mechanisms for pension benefits is strengthened.	
2.3.1	Budgeting of social security expenditures and fund management
2.3.2	Investment strategies of social funds and risk control methodologies
2.3.3	Management of basic Pension Fund

**Topics to be dealt with during 2016 are highlighted in yellow*

3.3.4. The conclusion of the topics started in 2015

The 2016 activities of Component 2 will start by completing the topics started in 2015, and in some cases planning their follow up. More specifically:

- Topic 2.1.1 **Division of decision power and expenditure responsibilities on social security between central and local government** - This topic has been discussed in 2015 both in the panel and in the workshop and is considered concluded at this stage of the project. Indeed, the issue of how to allocate the decisional power on social security between central and local

authorities will be relevant also in other topics and will come up in other research activities. The follow up of this topic will therefore be postponed to a later phase of the project to be decided together with MoF.

- **Topic 2.2.1 Nominal personal account reform in the basic pension insurance system** – While the activities conducted in 2015 will be completed by June and briefly recapped in the July workshop, it is envisaged a follow up that should lead to the identification of the tools necessary to manage a pension system based on individual accounts, and to the proposal of measures aimed to strengthen the income redistribution capacity of this approach.
- **Topic 2.1.2 Social security coverage on atypical employment: methodologies and tools of analysis and management** - The EU experiences in this field and especially the Italian institutions in the area of “atypical” work are of particular interest. As a matter of fact, if compared to other EU countries, Italy has a particular high ratio of atypical workers; moreover, the social protection aspects of the specific funds created to foresee a social protection coverage within this group (e.g. the Gestione Separata) are a unique experience within the EU, while the “Voucher” system could be of interest to China.
- **Topic 2.2.2 Models and methodologies for the social and economic sustainability analysis in social protection system** - The interest of MoF for this topic becomes evident once we take into consideration the “Decision of the Central Committee of the Communist Party of China on Some Major Issues Concerning Comprehensively Deepening the Reform” adopted by the Communist Party of China (CPC) Central Committee at the end of 2013. As highlighted in the report of Professor Xiaojun Wang of Renmin University, the decision points out not only the need to institute a fairer and more sustainable social security system, but also introduces for the first time the idea that the social pension schemes should obey a principle of actuarial balance. The discussion of this topic will be pursued in the July Workshop. In that occasion the C2 team will also propose and analyze with MoF the possibility to plan a first prototype of an economic-actuarial model.

3.3.5. Specific Activities

Situational Analysis

In June, the C2 team will finalize the situational analyses of the four topics started in 2015 while it will start the situational analysis of two new topics (2.1.4 and 2.3.2) in the second week of July.

Table 18 - Schedule for situational analysis

R	No	Topic	Incumbent	Deadline for providing situational analysis
6	2.1.2	Social security coverage on atypical employment: methodologies and tools of analysis and management	Zhou Xiao	Final assessment report by June 2016
7	2.2.2	Models and Methodologies for the social and economic sustainability analysis in social protection system	Wang Xiaojun	Final assessment report by June 2016

R	No	Topic	Incumbent	Deadline for providing situational analysis
6	2.1.4	Social security benefits and the assessment of the household socio-economic conditions.	TBD	First draft report by October 2016 Final assessment report by January 2017
8	2.3.2	Investment strategies of social funds and risk control methodologies	TBD	First draft report by October 2016 Final assessment report by January 2017

Assessment Report

The situational analyses of the four 2015 topics, together with the relative reports on EU best practices will be compiled in an Assessment Report to be shared with MoF before the workshop of July. By September 2016, the assessment report will be complemented with the documentation referring to the other activities performed by the Component 2.

The Assessment Report will contain the following documents:

Table 19 – Documents that will be added to the Assessment Report by July 2016

Situational Analysis Reports		
2.1.1	Division of decision power and expenditure responsibilities on social security between central and local government	Fuchang Zhao
2.1.2	Social security coverage on atypical employment: methodologies and tools of analysis and management	Zhou Xiao
2.2.1	Nominal Personal Account Reform in the Basic Pension Insurance System	Li Zhen
2.2.2	Models and Methodologies for the Social and Economic sustainability analysis in social protection system	Wang Xiaojun
EU Best Practices Reports		
2.1.1; 2.2.1; 2.2.2; 2.1.2	General overview of the topics started in 2015	Angelo Marano

2.2.1	Nominal Personal Account Reform in the Basic Pension Insurance System	Roberto Notaris
2.1.1; 2.1.2	<p>Division of decision power and expenditure responsibilities on social security between central and local government</p> <p>Social security coverage on atypical employment: methodologies and tools of analysis and management</p>	Mel Cousins
2.2.2	Models and Methodologies for the Social and Economic sustainability analysis in social protection system	Legini Angela

Table 20 – Documents that will be added to the Assessment Report by September 2016

Topic	Title	Author
2.1.1; 2.2.1	I Panel Discussion Meeting	<ul style="list-style-type: none"> Stefano Patriarca Component Assistant
2.1.2; 2.2.2	II Panel Discussion Meeting	<ul style="list-style-type: none"> Stefano Patriarca Component Assistant
2.1.1; 2.2.1	1 st C2 Workshop	<ul style="list-style-type: none"> Huang Wanding Component Assistant
2.1.2; 2.2.2	2 nd C2 Workshop	<ul style="list-style-type: none"> Component Assistant

3.3.6. Cross-topics activities

Workshops

The July workshop - The workshop on topics 2.1.2 (Social security coverage on atypical employment: methodologies and tools of analysis and management) and 2.2.2 (Models and Methodologies for the Social and Economic sustainability analysis in social protection system) will be held in July.

The workshop will represent a unique opportunity to sum up the results of the situational analyses finalized by the Chinese experts, to recall the most relevant EU best practices and to start discussing possible policy reforms. It is hoped that the results and conclusions of the workshops will help in shaping reform proposals and designing relevant policies, including the development of ad hoc tools, as foreseen by the Grant application form.

It will involve around 30 people, including the Component Coordinator and the C2 team, MoF officials, European and Chinese experts and discussants, as well as members of the EU Delegation.

The workshop on the new topic - Given the delay accumulated by the Component 2 in the first semester of 2016, the workshop on the new topics 2.1.4 (Social security benefits and the assessment of the household socio-economic conditions) and 2.3.2 (Investment strategies of social funds and risk control methodologies) will be held in January 2017, the earliest date we deem coherent with the necessity to provide a high quality research work.

The Workshops will gather high-level representatives of the Chinese stakeholders, Chinese and European experts and the Component team. Provisions could be made to facilitate attendance via videoconference of interested consortium members and project leadership.

The workshop will be preceded by a preparatory panel discussion.

ITEM	July 2016 /January 2017
	Participants / Duration
Workshop to discuss first proposals for reform options, topics: 2.1.2; 2.2.2	30 participants, 1 day
Workshop to discuss first proposals for reform options, topics: 2.1.4; 2.3.2	30 participants, 1 day

Panel discussions - A Panel discussion for the two new topics (2.1.4 and 2.3.2) is scheduled for November. The participants will include, aside the members of C2 team and MoF representatives, the Chinese experts that will be selected to carry on the situational analysis, the selected EU Short Term Experts that will report on EU best practices, and representatives of the interested Chinese stakeholders.

Also in this case, consideration will be given to the possibility of arranging videoconferences to facilitate the participation of interested EU Member States specialists.

The Panel discussion is expected to provide a solid knowledge of the Chinese situation and a selection of European best practices that will represent the basis for the development of policy suggestions to be presented during the January workshop.

3.3.7. Conducting overseas activities

In 2016, Component 2 will plan and organize a 3 weeks *Training program* in Italy. The Training has been tentatively scheduled around mid-September and the beginning of October. The participants will include MoF officials from the central and local Government.

The broad theme of the Training is "Funding the Social Security System". A detailed agenda will be proposed by the C2 team to MoF and to the Partners of the Consortium before the July workshop that will provide the occasion to discuss and finalize the proposal.

3.3.8. Working with Pilot sites

On the occasion of the July workshop the C2 team will propose to MoF representatives the possibility to plan and organize in 2017 two pilots, related to topics 2.1.4 (Social security benefits and the assessment of the household socio-economic conditions) and 2.2.2 (Models and Methodologies for the

Social and Economic sustainability analysis in social protection system). If the response of MoF will be positive, the C2 team will proceed to evaluate, in close cooperation with MoF, the technical feasibility of the pilots that largely depends on the availability of data. Before the end of the second year of the project the C2 team will present its conclusion to MoF to decide whether to introduce the pilots in the 2017 Activity plan.

3.3.9. Deliverables – inputs and outputs

As was the case in 2015, it is expected that, for each of the topics to be considered under the C2 2016 Activity Plan, a detailed analysis would be produced by a Chinese expert, and that most relevant European best practices will be identified through the fielding of a high level European social security specialist, to enter into substantive technical discussion with Chinese authors and stakeholders.

Analysis conducted under relevant technical topics will be used as preparatory materials for corresponding technical activities.

Mid-term reviews with the authors and a European best practices expert would be organized in due time, for the documents to be finalized ahead of the event at which they would formally be presented (panel discussion, training, workshop). In order to produce relevant European materials, C2 component coordinator will call upon European specialists from within and outside the Consortium to produce focused analysis of relevance for the Chinese situation. It is envisaged to make use of the provisions contained in the project budget (Studies and Research, line 6.2) to conduct in depth studies on specific themes requested by MoF, and for which it would not be possible to identify EU experts belonging to Consortium.

The following table lists all the deliverable pertaining to the 2016 activities; it is indicated in italic the deliverables that will be completed in 2017.

Table 21 – Component 2 – 2016 deliverables

DATE	TITLE	AUTHOR	OBSERVATIONS
July 2016	2 nd C2 Workshop Report - 2.1.2, 2.2.2	C2 RE - Component Assistant	EN
July 2016	Assessment report on the four 2015 topics, inclusive of EU Best Practices and Situational Analyses	C2 RE - Component Assistant	EN
September 2016	Consolidated Assessment report on the four 2015 topics and Component activities	C2 RE - Component Assistant	EN
October 2016	Study Visit/Training Course Report	RE and C2 team	EN

DATE	TITLE	AUTHOR	OBSERVATIONS
October 2016	Situational analysis (first draft) Social security benefits and the assessment of the household socio-economic conditions - 2.1.4	TBD	EN/CN
October 2016	Situational analysis (first draft) Investment strategies of social funds and risk control methodologies - 2.3.2	TBD	EN/CN
October 2016	Best practices report (first draft) Social security benefits and the assessment of the household socio-economic conditions - 2.1.4	EU STE- TBD	EN
October 2016	Best practices report (first draft) Investment strategies of social funds and risk control methodologies - 2.3.2	EU STE- TBD	EN
November 2016	III Panel Discussion meeting report – 2.1.4 and 2.3.2	C2 RE - Component Assistant	EN
November 2016	Component 2 Activity Plan 2017	Component Coordinator and Resident Expert	EN
<i>January 2017</i>	<i>Situational analysis (final)</i> <i>Social security benefits and the assessment of the household socio-economic conditions - 2.1.4</i>	<i>TBD</i>	<i>EN/CN</i>
<i>January 2017</i>	<i>Situational analysis (final)</i> <i>Investment strategies of social funds and risk control methodologies - 2.3.2</i>	<i>TBD</i>	<i>EN/CN</i>
<i>January 2017</i>	<i>Best practices report (final)</i> <i>Social security benefits and the assessment of the household socio-economic conditions - 2.1.4</i>	<i>EU STE- TBD</i>	<i>EN</i>

DATE	TITLE	AUTHOR	OBSERVATIONS
<i>January 2017</i>	<i>Best practices report (final)</i> <i>Investment strategies of social funds and risk control methodologies - 2.3.2</i>	<i>EU STE- TBD</i>	<i>EN</i>
<i>January 2017</i>	<i>3rd C2 Workshop Report- 2.1.4, 2.3.2</i>	<i>C2 RE - Component Assistant</i>	<i>EN</i>

**Activities in Italic are to be considered as tentative*

3.3.10. Component management and human resources

Starting from January 2016 INPS is the Component Coordinator while Sispi Italia Previdenza, a firm belonging to INPS and specialized in administrative and social protection services, is in charge of the Secretariat.

Since 16 May 2016, Component 2 was entrusted to Mr. Michele Bruni as Resident Expert; he is supported by Ms. Valentina Pignotti, Component Assistant. The Management team will be completed with a “Chinese main expert-research” and a “Chinese operational expert”.

During the year 2016, C2 will involve EU STE and sub-contract Chinese and foreign experts to carry out research and follow up activities, as foreseen in the project. The following table shows the proposed use of human resources.

Table 22 – Proposed Human Resources for Component 2 in 2016

COMPONENT 2 - PROPOSED USE OF HUMAN RESOURCES, 2016 ACTIVITY PLAN		
	2016	notes
C2 Chinese expert staff IN BUDGET	260	
<i>Chinese Main Expert-Research CMER</i>	<i>80</i>	Monthly instalments
<i>Chinese Operational Expert COE</i>	<i>56</i>	Monthly instalments
CN EXPERT Topic 2.1.2	25	
CN EXPERT Topic 2.2.1	25	
CN EXPERT Topic 2.2.2	35	
CN EXPERT Topic 2.1.4	15	
CN EXPERT Topic 2.3.2	5	
Other CN Experts	19	
<i>Total CN experts</i>	<i>260</i>	

<i>Component Assistant</i>	<i>full time</i>	Monthly instalments
Resident Expert		

C2 EU Public sector staff and subcontract experts IN BUDGET	125	
EU Expert 1-4	80	

COMPONENT 2 - PROPOSED USE OF HUMAN RESOURCES, 2016 ACTIVITY PLAN		
EU Expert 5-6	25	
EU Experts- Others	20	
Total EU Experts	125	

C2 Other support studies	2	
2 studies	2	

3.3.11. Revised Gantt

Overall presentation of activities - Component 2															
ID	DESCRIPTION	2016												2017	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
2	Component 2 - Enhance institutional capacity for financial management and supervision concerning social security funds														
2.1	Result 6: The capacity of MoF financial management and supervision of central and local model of social security system and the extension of social security system coverage are enhanced, in particular in the fields of division of expenditure responsibilities, mid -terms budgeting of fund, and performance assessment model														
2.1.1	Division of decision power and expenditure responsibilities on social security between central and local government														
2.1.2	Social security coverage on informal employment: methodologies and tools of analysis and management							WS							
2.1.3	Fiscal policies in support to social security, leverage among different financial sources and efficiency of fund use														
2.1.4	Social security benefits and the assessment of the household socio economic conditions											PD		WS	
2.1.5	Models for evaluating effects of social security policy implementation														

Overall presentation of activities - Component 2															
ID	DESCRIPTION	2016												2017	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
2	Component 2 - Enhance institutional capacity for financial management and supervision concerning social security funds														
2.2	Result 7: Enhance the top level design ability in the basic pension insurance; establish actuarial analysis models for basic pension insurance reform														
2.2.1	Nominal personal account reform in the basic pension insurance system														
2.2.2	Models and Methodologies for the Social and Economic sustainability analysis in social protection system							WS							
2.2.3	Methodologies and Actuarial Models for pension insurance														
2.3	Result 8: The capacity of the MoF in the management of social insurance funds, focusing on fiscal support budgeting, account system, investment techniques and adjustment mechanisms for pension benefits is strengthened														
2.3.1	Budgeting of social security expenditures and fund management														
2.3.2	Investment strategies of social funds and risk control methodologies											PD		WS	
2.3.3	Management of basic Pension Fund														

LEGENDA	
	Identification Phase: Situation analysis, Identification and review of possible relevant EU experience, Workshop with Stakeholders on the Identification Phase.
	Analysis Phase: Specific analysis of relevant EU experience, Training/visits to EU countries, Workshop with Stakeholders on the Analysis Phase, Elaboration of reform proposal.
	Follow-up Phase: Follow-up and ongoing technical assistance and tool development, Training needs analysis and training definition, Possible Training conducted (EU and/or China), Possible pilot application, Evaluation of follow-up phase and lesson learned.
PD	Panel discussion
WS	Workshop Analysis phase

3.4. Component 3

3.4.1. 2016 Overall objective and Expected results

The EU-China SPRP project aims overall at further development of social equity and inclusiveness of economic growth throughout Chinese society. Within the project, Component 3 deals with the improving of legal framework and policy for social assistance in collaboration with the Ministry of Civil Affairs (MoCA) – which is the national agency responsible for the social assistance policy in China.

For the government policy in China the main driving act for policy makers are the Interim Measures on Social Assistance and the XIIIth Five-Years Plan. The Project activity related to Component 3 could be seen as a part of the social policy goals which refer to:

- Poverty alleviation – Chinese government wants to eliminate poverty by 2020 (10 mln every year);
- Better access to social services for most vulnerable children, women and elderly;
- Encourage the participation of social force on antipoverty actions.

Social policies should ensure basic needs according to the Report on the work of the government made by Premier of State Council Mr Li Keqiang on March 5th, 2016. In the report, Premier Li stressed that the government needs to ensure that the continuous progress is made in raising living standards, and development is shared among all. The government also needs to combat poverty and help lift out of poverty all rural residents falling below the current poverty line and achieve poverty alleviation in all poor counties and areas. Social organizations will be developed and regulated in accordance with the law, and support will be given to the development of specialized social work, volunteer services and charity. The government will further develop the social credit system and ensure the full protection of the rights and interests of women, children and people with disabilities. The support and services provided to the children, women and elderly, who remain in rural areas while their family members work away in the cities will be strengthened¹².

In 2016 three topics (out of overall eleven topics within Component 3 – See Annex 1) are foreseen to be researched – all of them are a part of activities contributing to the achievement of result 9 of the Project.

All three topics will be explored in a parallel way by different Chinese experts starting from March 2016.

3.4.2. Topics to be covered

In 2015 three topics (3.1.1, 3.2.1 and 3.3.1) have been a subject of the situational analysis. Three assessments reports have been completed by the end of 2015. One of them (topic 3.1.1) has been completed by the end of July and then distributed among all interested stakeholders on the occasion of the 2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance held in Beijing on September 15th-16th, 2015.

From the remaining 8 topics to be considered under Component 3 three topics will be a subject of situational analysis in 2016, namely: 3.1.2, 3.1.3, 3.1.4. All topics will be a subject of an evaluation in a view of continued relevance, and to the constant monitoring of the evolving socio-economic situation, as well as Government priorities in terms of social assistance system.

¹² Report on the work of the government, delivered at the Fourth Session of the 12th National People's Congress of the People's Republic of China on March 5, 2016

Due to the priority treatment of the topics 3.1.1, 3.2.1, 3.3.1, that should be still reviewed in 2016, the evaluation of topics 3.1.2, 3.1.3, 3.1.4 will start in March as shown in table 16 below.

The main priority is to complete the first result (*R9 - The capacity of the MoCA for promulgating and enforcing the Social Assistance Law and the regulations on rural and urban minimum standards of living are strengthened; the skills of local officials in policy transmission and implementation are upgraded*).

Table 23 – Topics to be considered, Component 3¹³

ID	DESCRIPTION	R.	DATE STARTS
Component 3 - Improving of legal framework and policy for social assistance			
3.1.2	Governance framework for Social Assistance administration and management process ¹⁴	9	March 2016
3.1.3	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance ¹⁵	9	March 2016
3.1.4	Monitoring and evaluation of Social Assistance schemes ¹⁶	9	March 2016

The subsequent sections of this document will introduce the corresponding activities for each of above listed topics (point 3.4.3) to be conducted in 2016 following the overall approach in the Grant application form across Identification and Analysis phases. Identification phase corresponds mainly to the review of the current situation in China and in European countries for a given topic, while the Analysis phase leads to the elaboration of the adequate reform proposals taking into account the most relevant EU best practices for the same topic.

Some activities will be the same for all topics (e.g. panel discussions or workshops) and therefore are described in separate point (point 3.4.5). The decision concerning Pilot sites will be taken by MoCA after finalization the core situation analysis part foreseen for Component 3.

¹³ The exact titles of the topics could be renamed in a process of the situational analysis.

¹⁴ Including issue of sharing responsibilities on different levels of the government and cooperation within and between different government actors.

¹⁵ Including service procurement from social assistance forces, actions carries out by communities and public-private partnership.

¹⁶ Monitoring and evaluation which includes top-down process, engagement of third parties and participatory approach – input from the social assistance target groups and social assistance benefits recipients.

3.4.3. Specific Activities

Situational Analysis

Following the experience of 2015, the project in 2016 will be implemented in close cooperation with MoCA, which is the main national stakeholder for Component 3.

Table 24 – Schedule for situation analyses

R	No	Topic	Incumbent	Deadline for providing situation analyses
9	3.1.2	Governance framework for Social Assistance administration and management process	Zuo Ting	First draft report by July 2016 Final assessment report by September 2016
9	3.1.3	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	Guo Yu	First draft report by July 2016 Final assessment report by September 2016
9	3.1.4	Monitoring and evaluation of Social Assistance schemes	Gang Shuge	First draft report by July 2016 Final assessment report by September 2016

Coherence among the various situational analysis will be provided by mid-term peer review and quality control conducted by Chinese short-term experts working under the supervision of the C3 EU Resident expert and MoCA.

It is envisaged that a European expert will be provided for the situational analysis for each topic, in order to ascertain that the assessment reports correspond to the level and quality of information required to allow for proper matching with the corresponding EU experience in terms of best practices.

The output of this activity is to provide an adequate knowledge of Chinese situation¹⁷ related to the topic under consideration. The assessment reports in English document the findings and conclusions of the Chinese experts¹⁸.

¹⁷ When relevant and due to the dynamic situation in the social assistance in China several local missions could be organised for Chinese short-term experts and the C3 RE according to the respective budget line foreseen in the Project.

¹⁸ It is expected that each Situational analysis will be limited to some 40 pages.

Table 25 – Preliminary agreement on local study visits for the CN short-term experts and C3 team in 2016

No	Topic*	participants	Destination No overlapping	date
1	Governance framework for Social Assistance administration and management process	1 CN short-term experts C3 team (C3RE and C3 Assistant) accompanied by MoCA	TBD	May/June/October 2016
2	Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	1 CN short-term experts C3 team (C3RE and C3 Assistant) accompanied by MoCA	TBD	May/June/October 2016
3	Monitoring and evaluation of Social Assistance schemes	1 CN short-term experts C3 team (C3RE and C3 Assistant) accompanied by MoCA	TBD	May/June/October 2016

*3 local study visits are foreseen for each of the topics.

Identification and Review of Possible Relevant EU Experience

In compiling the best practices contributions from EU Member states, special attention will be paid to experiences and lessons learned of adjusting social assistance policies to adapt to economic crisis in EU Countries. 3 short-term EU experts will be recruited for the project (one to each of the topic) to prepare the EU best practice report (collect, analyze and compile most relevant EU best practices and their compatibility with the expectations and requirements of the Chinese stakeholders).

The best practice reports will be prepared by recruited EU short-term experts:

First batch on the occasion of Panel Discussion by August/September 2016 – for topics 3.1.2; 3.1.3; 3.1.4.

Second batch on the occasion of Workshop by November/December 2016 – for topics 3.1.2; 3.1.3; 3.1.4.

The output of this activity will be a documentation on EU best practices relevant to Chinese social protection reform listed priorities, while deliverables will be Best practices reports for each of the topics

considered under Component 3 plan of activities for 2016, including a special contribution on experiences and lessons learned in addressing economic crisis.

For the year 2016 the EU experts are foreseen for each topic separately for the panel discussion and the workshops. Additionally several missions could be organized if requested by MoCA on a specific topics according to the selection procedure under the supervision of the Consortium.

3.4.4. Policy dialog / Study assignments to EU countries

In April 2016 under the leadership of Mr Li the Minister of MoCA a visit to EU countries (two EU-China SPRP Consortium members - possibly Poland and Spain) has been planned. On this occasion a High Level Policy Dialog on Social Assistance in Poland will be organized. The main goal of the meeting between Ministry of Family, Labour and Social policy of Poland – the Component 3 Coordinator of the EU-China SPRP project and Ministry of Civil Affairs of the P.R. China as the C3 Chinese counterpart is to discuss activities foreseen for the next years. During the visit a policy dialog will focus on the three topics¹⁹ of the C3 EU-China SPRP. The detailed program and activities to be undertaken will be decided by March 2016 (most possibly Poland and Spain will be visited). It is foreseen that the outputs of the visit (mission reports) will be shared within the Project. EU-China SPRP project activities have been an excellent opportunity for a closer cooperation between China and EU respective ministries which can be deep within more bilateral agreements.

Study visit to the EU within the Component 3 of EU-CHINA SPRP is tentatively scheduled for June 2016 (possibly to three countries: Poland, Czech Republic and Romania). It is an activity which was originally foreseen for the year 2015 and postponed for 2016.²⁰

The details on the agenda and the program will be decided on a later stage considering the 2nd C3 panel discussion and the 1st C3 Workshop outputs.

Study Visit - topic: Social assistance; legal framework; minimum standards of living; services for specific vulnerable groups	June 2016
	Participants / Duration
Study visit to Poland, Czech Republic and Romania (TBC) – Topics 3.1.1; 3.2.1; 3.3.1	10 participants, 10 days

3.4.5. Cross-topics activities

Panel discussions

Panel discussion for three interrelated topics has been tentatively scheduled for July 2016.

¹⁹ Legal framework on Social Assistance ; Experiences on unified standards for calculation of Social Assistance benefits; Social Assistance specific vulnerable groups - services for children, elderly, people with disabilities, with a special focus on poor rural people.

²⁰ Details have been explained within the second C3 Component Status Report.

This Panel discussion would gather the Chinese experts in charge of the respective situational analysis, representatives of the interested Chinese stakeholders and the Component management. Consideration will be given to the possibility of arranging videoconference for the event, to facilitate participation of interested EU Member States specialists. However due to the time constraints it can be a challenging issue.

To support the Panel discussion sessions and the production of subsequent panel reports, the preliminary set of typical reform proposals to follow with the corresponding requirement to deepen knowledge on most relevant European best practices will be prepared. Ad hoc support will be provided by 3 Chinese and 3 European experts - one for each of the three reports.

The output of this activity will be a solid ground for a preliminary formulation of desirable areas for reforms under the concerned topics, and a selection of European best practices worth deepening for that purpose. Deliverables will be reports on the Panel discussion sessions prepared by Chinese and European experts.

ITEM	July 2016
	Participants / Duration
Panel discussion Topics: 3.1.2; 3.1.3.; 3.1.4.	15 participants, 1 day

Workshop on the Analysis Phase

This activity represents a logical continuation of the Panel discussion sessions. The workshop will represent a unique opportunity, after duly analyzing the Chinese situation and the most relevant EU best practices, to start considering a set of draft reform proposals concerning the variety of topics already introduced under Component 3 Plan of activities, 2016.

The first Workshop had been tentatively scheduled for the month of December 2015, however due to the schedule of panel discussion on topic 3.2.1 and 3.3.1 it was postponed to March 1st, 2016 (after the annual public holidays).

The Workshop will gather high level representatives of the Chinese stakeholders, Chinese and European experts and Component 3 representatives. Provisions could be made to facilitate attendance via videoconference of interested consortium members and project leadership. However due to the time constraints it can be a challenging issue.

The preparation, holding and subsequent reporting of the workshop would benefit from the support of 1 Chinese and 1 EU expert for each of the three topics recruited for the project. The results and conclusions of the Workshop would be of direct use in shaping reform proposals and designing related technical assistance activities, including development of ad hoc tools, as foreseen under the Grant application form Follow Up phase (Macro-activities 3.8 and ff.).

Output of the Workshop will be a solid ground for considering further possible reform options to be finalised, and designing a programme of accompanying technical assistance and technical tools development to be implemented under project auspices. The deliverables will be the Workshop report

including proposals for reform options on each of the three topics being a subject of situational analysis in 2015, and a first set of proposed activities for a technical assistance programme and the development of relevant technical tools under the framework of the project Component 3.

ITEM	March 2016 / November 2016
	Participants / Duration
Workshop to discuss first proposals for reform options, topics: 3.1.1; 3.2.1; and 3.3.1.	35 participants, 1 day
Workshop to discuss first proposals for reform options, topics: 3.1.2; 3.1.3; and 3.1.4.	35 participants, 1 day

3.4.6. Pilot Sites

Within regular consultations with MoCA it was concluded that defining of the pilot sites will be done on a later stage (more advanced situational analysis in China) just to use that project activity in an effective way. There is no pilot sites decided for year 2016 yet.

3.4.7. Deliverables

For 2015 and 2016 within Component 3 deliverable are provided according to the work plan and activities perform through the year.

Table 26 – Component 3 - 2015 deliverables

DATE	TITLE	AUTHOR	OBSERVATIONS
Apr 2015	Audience of the Project results – C3	Marzena Breza	EN
Apr 2015	Baseline data – C3	Guo Yu	EN
June 2015	1 st Component Status report	MPiPS & Marzena Breza	EN
June/July 2015	Panel discussion - meeting report	Marzena Breza & Comp Assistant	EN
July/Aug 2015	Assessment report on Legal Framework on Social Assistance – 3.1.1	Feng Cao Guo Yu Fanhua Kong	Shared with HLF participants EN/CN
July 2015	Mission report - Nanchang	Fanhua Kong	EN
July 2015	Mission report - Qingdao	Guo Yu	EN
July 2015	Mission report - Wuhan	Guo Yu	EN

DATE	TITLE	AUTHOR	OBSERVATIONS
July 2015	Mission report – Sichuan	Cao Feng	EN
July 2015	Mission report - Gansu	Cao Feng	EN
Aug 2015	Background information on Social Assistance - report	MPiPS & Consortium	Shared with HLF participants EN/CN
Aug 2015	Mission report - Guizhou	Marzena Breza	Background papers also in Chinese
Oct 2015	BEST PRACTICES REPORT – mission report & conclusions on the High Level Forum	Zofia Czepulis-Rutkowska	EN
Oct 2015	Mission report - Guangzhou	Marzena Breza	EN
Nov 2016	2 nd Component Status report	MRPiPS & Marzena Breza	EN
Dec 2015	Assessment report Experiences on unified standards for calculation of Social Assistance benefits - 3.2.1	Guo Yu Gang Shuge	EN/CN
Dec 2015	Assessment report Social Assistance specific vulnerable groups - services for children, elderly, people with disabilities, with a special focus on poor rural people - 3.3.1	Zuo Ting Gang Shuge	EN/CN
Dec 2015	Mission report - Dalian	Guo Yu	EN
Dec 2015	Mission report - Shanghai	Guo Yu	EN
Dec 2015	Mission report - Xining, Qinghai Province	Zuo Ting	EN
Dec 2015	Mission report - Zhangjiajie, Hunan Province	Zuo Ting	EN
Dec 2015	2 nd Panel Discussion meeting report	Marzena Breza	EN
Dec 2015	BEST PRACTICES REPORT – 3.3.1	Monika Gabanyi	EN
Dec 2015	BEST PRACTICES REPORT – 3.2.1	Lacramioara Corches	EN

Table 27 – Component 3 - 2016 deliverables (preliminary list)

DATE	TITLE	AUTHOR	OBSERVATIONS
March 2016	1 st C3 Workshop report	Marzena Breza Comp 3 Assistant	EN
March 2016	Policy recommendations / Best practice report 3.1.1	Guo Yu /Davor Dominkus	CN/EN

DATE	TITLE	AUTHOR	OBSERVATIONS
March 2016	Policy recommendations / Best practice report 3.2.1	Gang Shuge / Mel Cousins	CN/EN
March 2016	Policy recommendations / Best practice report 3.3.1	Zuo Ting / Jadwiga Pauli	CN/EN
April 2016	Report on the IT supporting system for cash benefits arrangements	Lacramioara Corches	EN
April 2016	NGO role on social services delivery	Monika Gabanyi	EN
May/June	Study visits – background materials (tbc)	Coordinated by MRPiPS	EN
May/June 2016	Study visit to Europe – policy dialog meetings reports	MoCA	EN&CN
June/July/Nov2016	Missions* reports	CN short-term experts & C3 EU RE / Comp 3 Assistant	EN
June/July	2015 High Level Forum on Social Assistance – conference report	Marzena Breza Comp 3 Assistant Authors of the papers	EN/CN
Aug 2016	2015 C3 Volume	Package of CN and EU final reports from 2015	EN/CN
July/Aug 2016	Panel discussion - meeting report	Marzena Breza & Comp 3 Assistant	EN
Aug/Sep 2016	Best practice report 3.1.2	EU 4 expert	EN
Aug/Sep 2016	Best practice report 3.1.3	EU 5 expert	EN
Aug/Sep 2016	Best practice report 3.1.4	EU 6 expert	EN
Sep 2016	Assessment report 3.1.2	Zuo Ting	EN/CN
Sep 2016	Assessment report 3.1.3	Guo Yu	EN/CN
Sep 2016	Assessment report 3.1.4	Gang Shuge	EN/CN
Nov 2016	Policy dialog – research study – follow up of the 2015 HLF	TBD	TBD
Nov/Dec 2016	Policy recommendations / Best practice report 3.1.2	CN / EU 7 expert	EN
Nov/Dec 2016	Policy recommendations / Best practice report 3.1.3	CN / EU 8 expert	EN
Nov/Dec 2016	Policy recommendations / Best practice report 3.1.4	CN / EU 9 expert	EN

DATE	TITLE	AUTHOR	OBSERVATIONS
Dec 2016	2 nd C3 Workshop report	Marzena Breza Comp 3 Assistant	EN

3.4.8. *Follow up of the 2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance*

As a follow-up of the event the conference report should be completed (in bilingual version) and shared with the Consortium and Chinese stakeholders in the first half of 2016.

Moreover as a part of follow-up of activities such as EU-China HLF and situational analysis done in 2015, C3 (possibly in cooperation with C1) will perform a study research on the social assistance structures of China, main Chinese achievements and challenges in relation to specific examples of the EU countries experiences. It is planned that this kind of activity will be executed by two experts one of them being a senior expert from the social assistance field from leading Chinese academy (familiar with the current policy making processes in China) and the other one representing the EU with broad knowledge on the EU experience within social assistance field²¹. The main goal of the research will be to present the main achievements of the existing Chinese social assistance system and its challenges. Afterward the lessons learned will be confronted it with most relevant expertise and experience from the EU countries. The output of the research can be disseminated to promote social assistance policies in times of dynamic socio-economic conditions. It is planned that the outputs will be shared within a policy dialog meeting in Beijing and disseminate as a presentation of the Chinese achievements among other Asian countries.

3.4.9. *Component management*

Starting from 2016 the Secretariat activities for Component 3 and Component 1 will be performed (based on the IMC decision from Dec 2015) by the Expertise France.

As stated in Chapter 2 of the report due to the several and continues issues and obstacles from the former Project Secretariat mainly on the contracting and payment for the Chinese staff and experts Component 3 suffered difficulties in organizing some activities. In 2016 the main progress to be done is contracting C3 Chinese staff and experts directly from China which is coordinated by Expertise France.

The human resources needs (estimation as for mid-March, 2016) has been showed in Table 21.

²¹ Research study will be executed on behalf of the research institution which the experts are representing.

Table 28 – Preliminary agreement on the human resources for Component 3 in 2016

EU-CHINA SOCIAL PROTECTION REFORM PROJECT COMPONENT THREE - MONITORING OF HUMAN RESOURCES - 2016 as for January 2016									
#	CATEGORY			POSITION	DURATION W/D		INCUMBENT	OBSERVATIONS	
	CN EXPERT	EU EXPERT	OFFICE		ALLOC.	CONTRACT			
1		X		RESIDENT EXPERT			MARZENA BREZA	FULL-TIME / INCLUDING LOCAL VISISTS WHEN DEFINED	
2			X	COMPONENT ASSISTANT			CAIHUA ZHANG	PART-TIME / INCLUDING LOCAL VISISTS WHEN DEFINED	
3	X			1. Policy recommendations report on 3.3.1 2. RESEARCH 3.1.2 Governance framework for Social Assistance administration and management process	16 (6+10)	TILL APRIL	ZUO TING	INCLUDING LOCAL VISISTS WHEN DEFINED	
4	X			1. Policy recommendations report on 3.1.1 2. RESEARCH 3.1.3 Processes and activities of policy transmission and implementation carried out at central and local level of Social Assistance	16 (6+10)	TILL APRIL	GUO YU	INCLUDING LOCAL VISISTS WHEN DEFINED	
5	X			1. Policy recommendations report on 3.2.1 2. RESEARCH 3.1.4 Monitoring and evaluation of Social Assistance schemes	16 (6+10)	TILL APRIL	GANG SHUGE	INCLUDING LOCAL VISISTS WHEN DEFINED	
6		X		CONSULTANCY FOR THE WORKSHOP ON THE TOPICS: 3.1.1.&3.2.1 &3.3.1 FROM YEAR 2015	30		3 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA	FEBRUARY/MARCH, 2016 - 1ST C3 WORKSHOP
7		X		Best practises report - post mission	5		LACRAMIOARA CORCHES	Report on the IT supporting system for cash benefits arrangements	
8		X		Best practises report - post mission	5		MONIKA GABNYI	NGO role on social services delivery	
9		X		CONSULTANCY FOR THE 3RD PANEL DISCUSSION ON TOPICS: 3.1.2 & 3.1.3 & 3.1.4	30		3 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA	JULY 2016 - 3RD C3 PANEL DISCUSSION
10		X		CONSULTANCY FOR THE 2ND WORKSHOP ON TOPICS: 3.1.2 & 3.1.3 & 3.1.4	30		3 EU EXPERTS FOR 2 WEEKS EACH	IN CHINA	NOV 2016 - 2ND C3 WORKSHOP
11	x	x		POLICY DIALOG - FOLLOW UP OF THE HLF	TBC		CN&EU EXPERTS	IN CHINA	TBD
12	X			STUDY VISIT WITH A PARTICIPATION OF CN EXPERTS (MAX 4)	10		TBC - I.A. THE SHORT-TERM CHINESE EXPERTS	MAY 2016 STUDY VISITS TO POLAND AND ROMANIA MAX 10 DAYS/MAX 10	

3.4.10. Revised Gantt

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 2	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
	Identification Phase														
3.0	Preparation of brief diagnosis, establish baseline data, analysis audience of project results (macro-activity 0.1)														
3.1.	Situational Analysis														
3.1.1	In-depth analysis on the topics 3.1.1;														
3.1.2	In-depth analysis on the topic 3.2.1; 3.3.1														
3.1.3	In-depth analysis on the topic 3.1.2; 3.1.3; 3.1.4														
3.1.4	Preparation of the Situational Analysis Reports for topics 3.1.1;														
3.1.5	Preparation of the Situational Analysis Reports for topics 3.2.1; 3.3.1														
3.1.6	Preparation of the Situational Analysis Reports (including local study visits) for topics 3.1.2; 3.1.3; 3.1.4														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 2	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
3.2	Identification and review of possible relevant EU experience														
3.2.1	Preparation of General Country Reports (cross topics)														
3.2.2	Involvement of other EU Countries in the research on EU Best Practice on the specific sub-topics														
3.2.3	Research and preparation of reports on EU Countries Best Practices on the specific sub-topics														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 2	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
3.3	Panel discussions with stakeholders on the Identification Phase														
3.3.1.1	Preparation of Panel discussion contents on possible EU Best Practices to apply in China about the specific topics 3.1.1;														
3.3.1.2	Preparation of Panel discussion contents on possible EU Best Practices to apply in China about the specific topics 3.2.1; 3.3.1														
3.3.1.3	Preparation of Panel discussion contents on possible EU Best Practices to apply in China about the specific topics 3.1.2; 3.1.3; 3.1.4														
3.3.2	Identification and travels organisation of EU Expert on the topics included in Panel discussion														
3.3.3	Meetings to share and discuss the EU Best Practices and to select models to be possibly applied in China														
3.3.4	Preparation of reports with the Panel discussion results and sharing with the Main Chinese stakeholders														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 2	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
Analisis Phase															
3.4	Specific analysis of relevant EU experience														
3.4.1.1	Technical feasibility of EU MS Best Practices to be introduced in China and preliminary reform proposals about the specific topics 3.1.1														
3.4.1.2	Technical feasibility of EU MS Best Practices to be introduced in China and preliminary reform proposals about the specific topics 3.2.1; 3.3.1														
3.4.1.3	Technical feasibility of EU MS Best Practices to be introduced in China and preliminary reform proposals about the specific topics 3.1.2; 3.1.3; 3.1.4														
3.4.2	Definition of a first draft of reform proposal/policy recommendations														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 2	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
3.5	Policy Dialog/Study Assignments to EU countries														
3.5.0	High Level Policy Dialog / Ministerial visit to two EU-China SPRP Consortium Member Countries - PL and ES on topics 3.1.1; 3.2.1; 3.3.1.				HLPD										
3.5.1	10-days study tour to Europe (3 EU-China SPRP countries- PL,CZ and RO) on the topic of legal framework of Social Assistance														
3.5.1.1	Organisation of study tour to Europe (best practices on topics 3.1.1; 3.2.1; 3.3.1)														
3.5.1.2	Travels and logistic organisation of study tour														
3.5.1.3	Carrying out study tour														
3.5.1.4	Reports on study tour and sharing with main Chinese stakeholders														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 2	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
3.6	Workshop with stakeholders on the Analysis Phase														
3.6.1	Preparation of Workshop contents on proposals about the specific topics														
3.6.2	Identification and travel organisation of EU Experts on the topics included in Workshop														
3.6.3	Meeting for discussing the contents previously identified on the on concrete final proposals														
3.6.4	Workshop closing event			WS								WS			
3.6.5	Preparation of a report with the Workshop results and sharing with the Main Chinese stakeholders														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 2	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
3.7	Elaboration of reform proposals														
3.7.2.1	Final identification of reform proposals on topics 3.1.1														
3.7.2.2	Final identification of reform proposals on topics 3.2.1; 3.3.1														
3.7.2.3	Final identification of reform proposals on topics 3.1.2; 3.1.3; 3.1.4														
3.7.3	Definition of a detailed plan activities for testing the proposals locally (if requested) and for the following stages														
3.7.4	Preparation of the final reform proposal document and sharing with the Main Chinese stakeholders														

ID	DESCRIPTION	Y 2												Y 3	
		S 1						S 2						S 2	
		M 14	M 15	M 16	M 17	M 18	M 19	M 20	M 21	M 22	M 23	M 24	M 25	M 26	M 27
		I 16	II 16	III 16	IV 16	V 16	VI 16	VII 16	VIII 16	IX 16	X 16	XI 16	XII 16	I 17	II 17
Follow Up Phase															
3,8	Technical assistance and tool development, training needs														
3,9	Pilot activities														
Horizontal macro activities															
3.10.	2015 EU-China High Level Forum on Social Protection Reform Challenges for Legal Framework of Social Assistance														
3.10.1	Choose topic for High Level Event														
3.10.2	Prepare programme, choose venue, arrange logistics for HLE														
3.10.3	Select speakers, panleists and researches														
3.10.4	Prepare visibility activities related to the HLE														
3.10.5	Hold HLE														
3.10.6	Report on the HLE														
3,11	Reporting and Evaluation														
3.11.1	EU Results-oriented mission (ROM)														
3.11.2	Components reports														
3,12	PAC meetings														

3.4.11. Summary

Table 29 - Activities foreseen for 2016 (chronological order)

No	Topic	Date
1	Best practice reports / policy recommendations - first batch (for 1st C3 Workshop)	from January to March 2016
2	1st C3 Workshop	February/March 2016
3	Situation analyses on topics 3.1.2; 3.1.3; 3.1.4.	from March to September 2016
4	Policy Dialog / Ministerial visit to two EU-China SPRP Consortium Member Countries (PL and ES)	April 2016
5	Study visit to Europe	June 2016
6	Local study visits for CN experts and C3 EU RE in China	May/June/October 2016
7	Panel discussion on topics 3.1.2; 3.1.3; 3.1.4.	July 2016
8	Best practice reports -first batch (for Panel Discussion)	from July to August 2016
9	2nd C3 Workshop on topics 3.1.2; 3.1.3; 3.1.4.	November 2016
10	Research study & Policy dialog meeting as a follow up of the 2015 HLF possibly back to back 2nd C3 Workshop meeting	Nov 2016
11	Best practice reports / policy recommendations - second batch (for Workshop)	from November to December 2016

*3 local study visits are foreseen for each of the topics.

Tentative number of short-term experts contributing to C3 activities held in China in 2016:

EU experts: 3 for the Workshop in Feb/March 2016; 3 for Panel discussion in July 2016; 3 for Workshop in November. Each mission of the EU expert is foreseen for max 10 working days.

Chinese experts: 3 CN experts supported by an operational expert which could be possibly selected within the new SPRP Secretariat.